

Self Introduction

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Basic Information

Alibaba Group

- Senior Algorithm Engineer in Taobao & Tmall Group
- Responsibilities: Advertisement Reranking System

Aug. 2024 - Present



University of Liverpool

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Dec. 2019 - Jul. 2024



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1. Graph Learning Against Homophilous Assumptions

Jingwei Guo, et.al. Rethinking Spectral Graph Neural Networks with Spatially Adaptive Filtering. *TNNLS (Under 2nd Review) 2025*.

Jingwei Guo, et.al. ES-GNN: Generalizing Graph Neural Networks Beyond Homophily with Edge Splitting. *TPAMI 2024*.

Jingwei Guo, et.al. Graph Neural Networks with Diverse Spectral Filtering. *WWW 2023*.

Jingwei Guo, et.al. Learning Disentangled Graph Convolutional Networks Locally and Globally. *TNNLS 2022*.

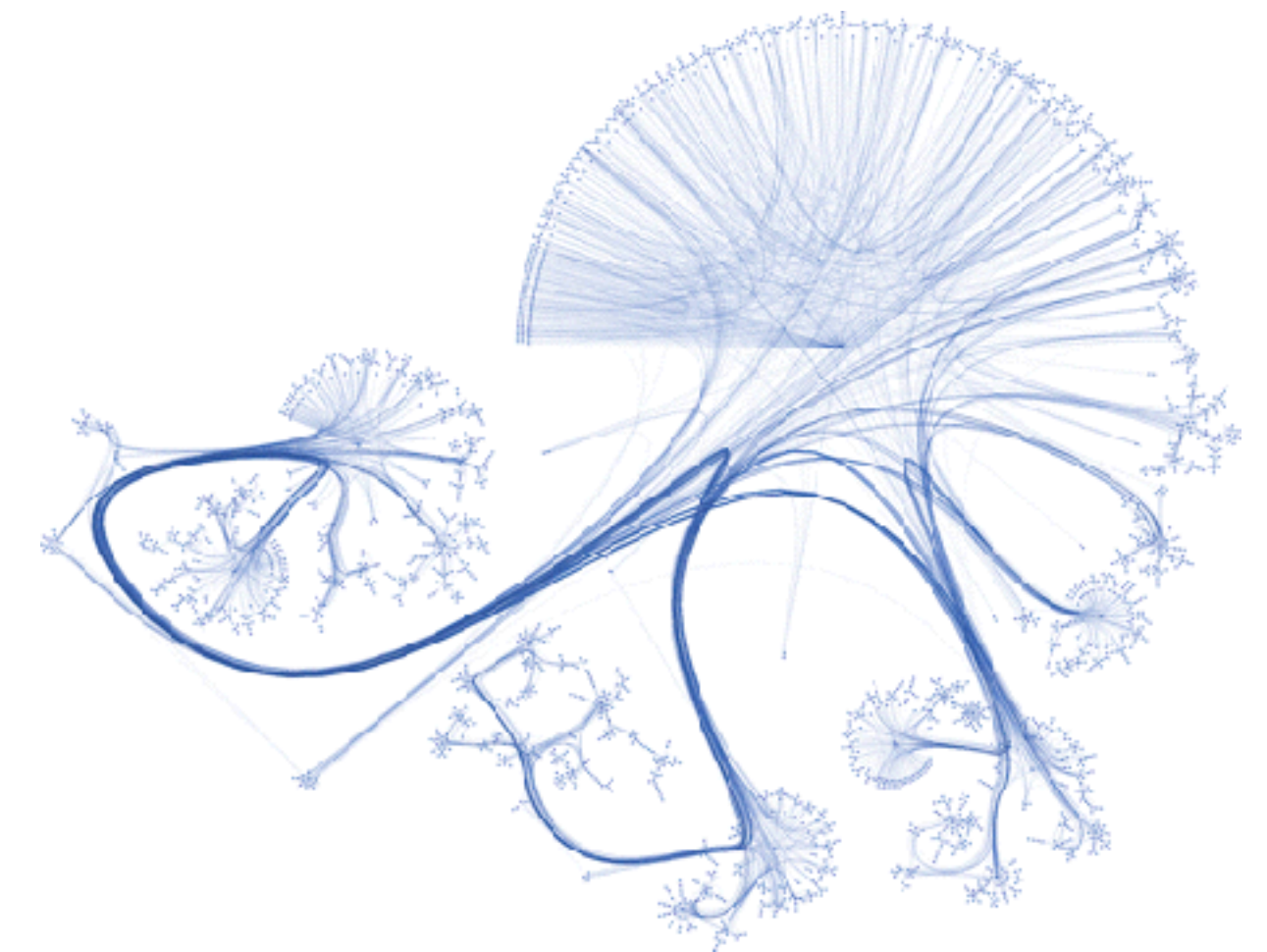
2. Transfer Learning Under Distribution Shifts

Zixian Su*, **Jingwei Guo***, et.al. Un-mixing Test-time Adaptation under Heterogeneous Data Streams. *TKDE (Under Review) 2025*.

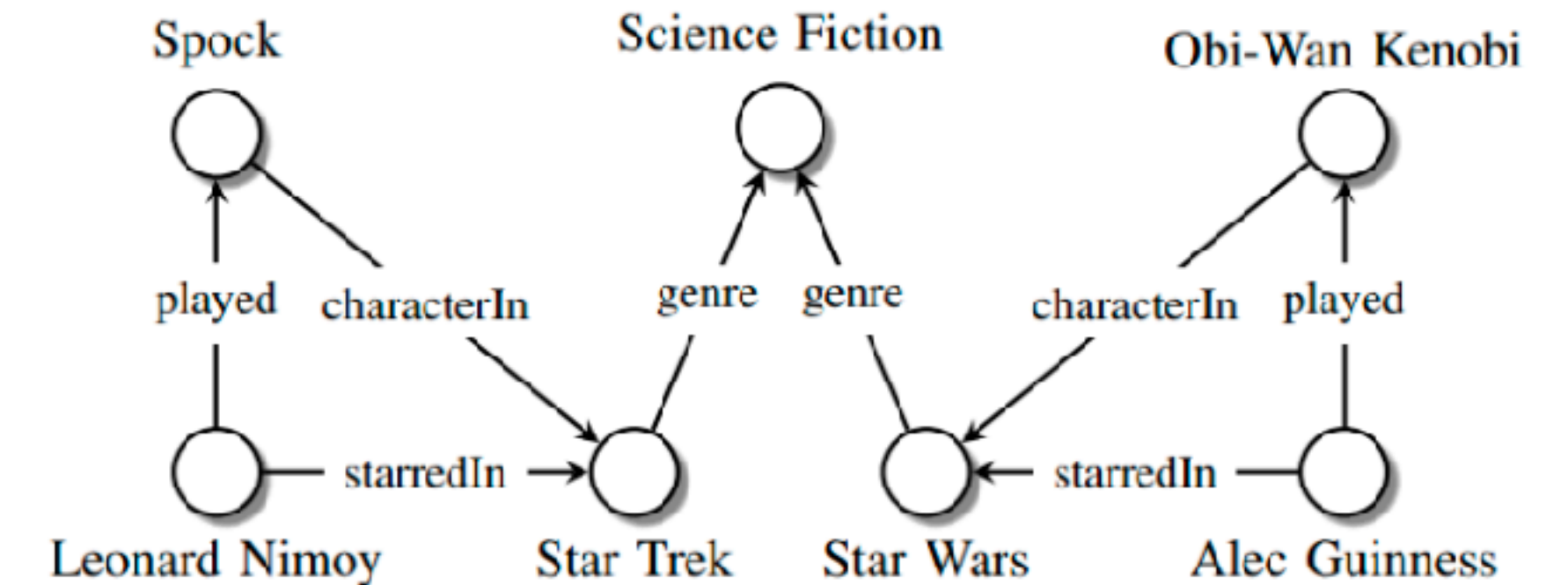
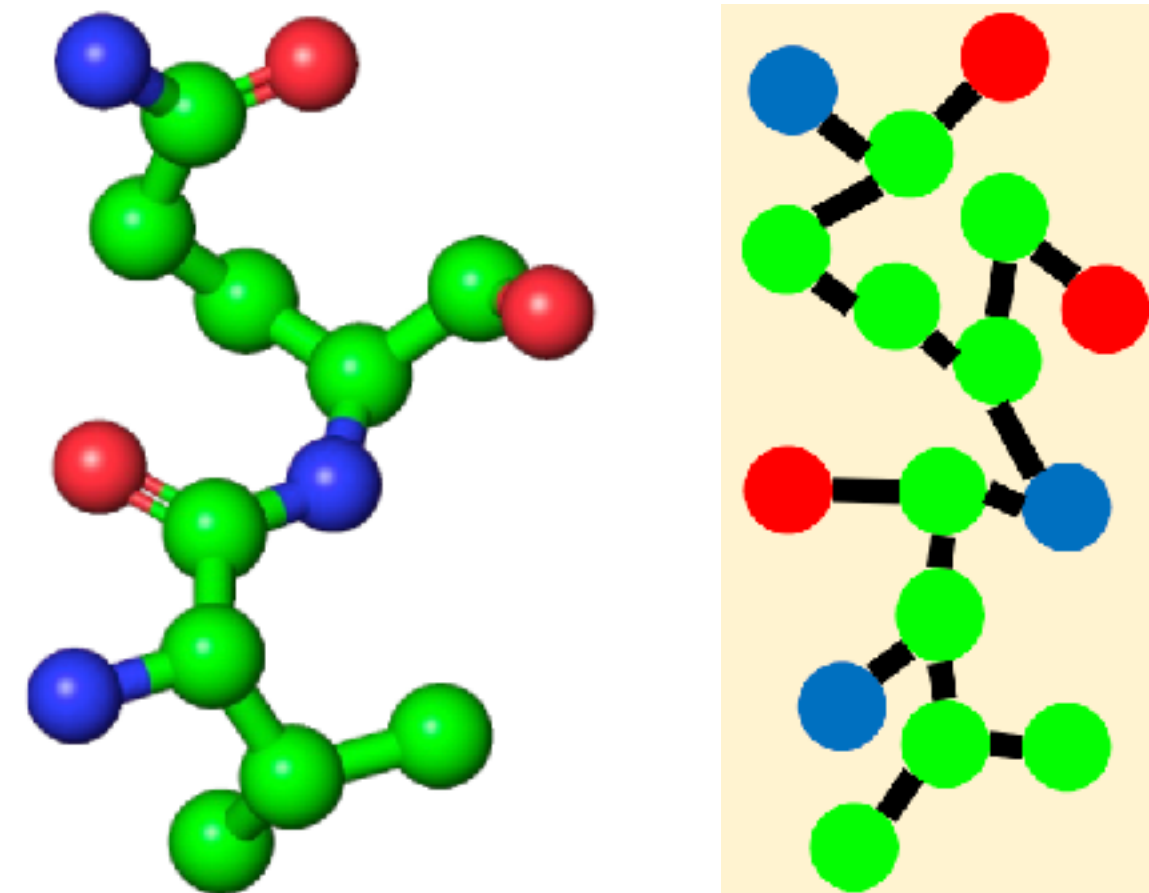
Zixian Su*, **Jingwei Guo***, et.al. Navigating Distribution Shifts in Medical Image Analysis. *ACM Comput. Surv (Under Review) 2025*.

Zixian Su, **Jingwei Guo**, et.al. Unraveling Batch Normalization for Realistic Test-Time Adaptation. *AAAI 2024*.

Graph Learning Against Homophilous Assumptions

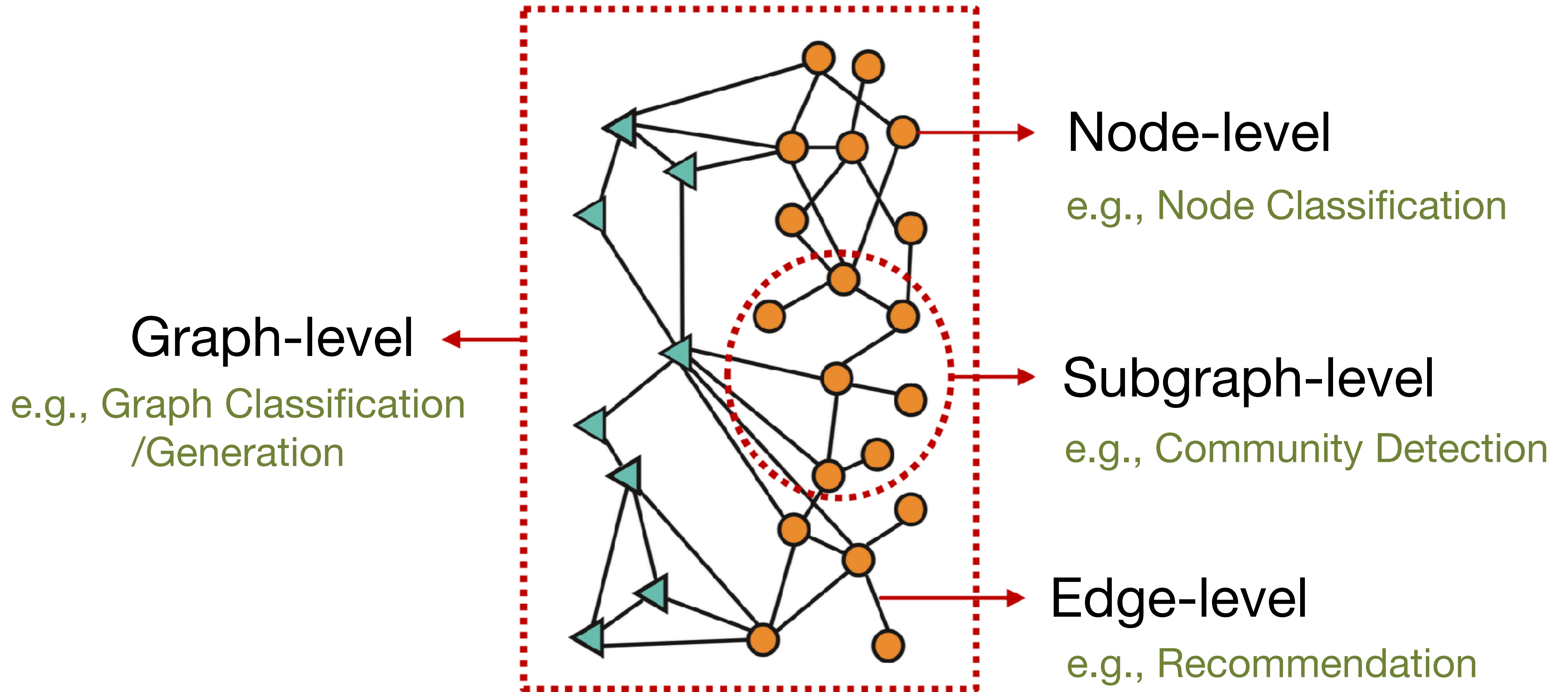


Research Background — Graphs



Graphs are a general language describing and analyzing
entities with relations or interactions.

Research Background — Tasks on Graphs



<http://cs224w.stanford.edu/>

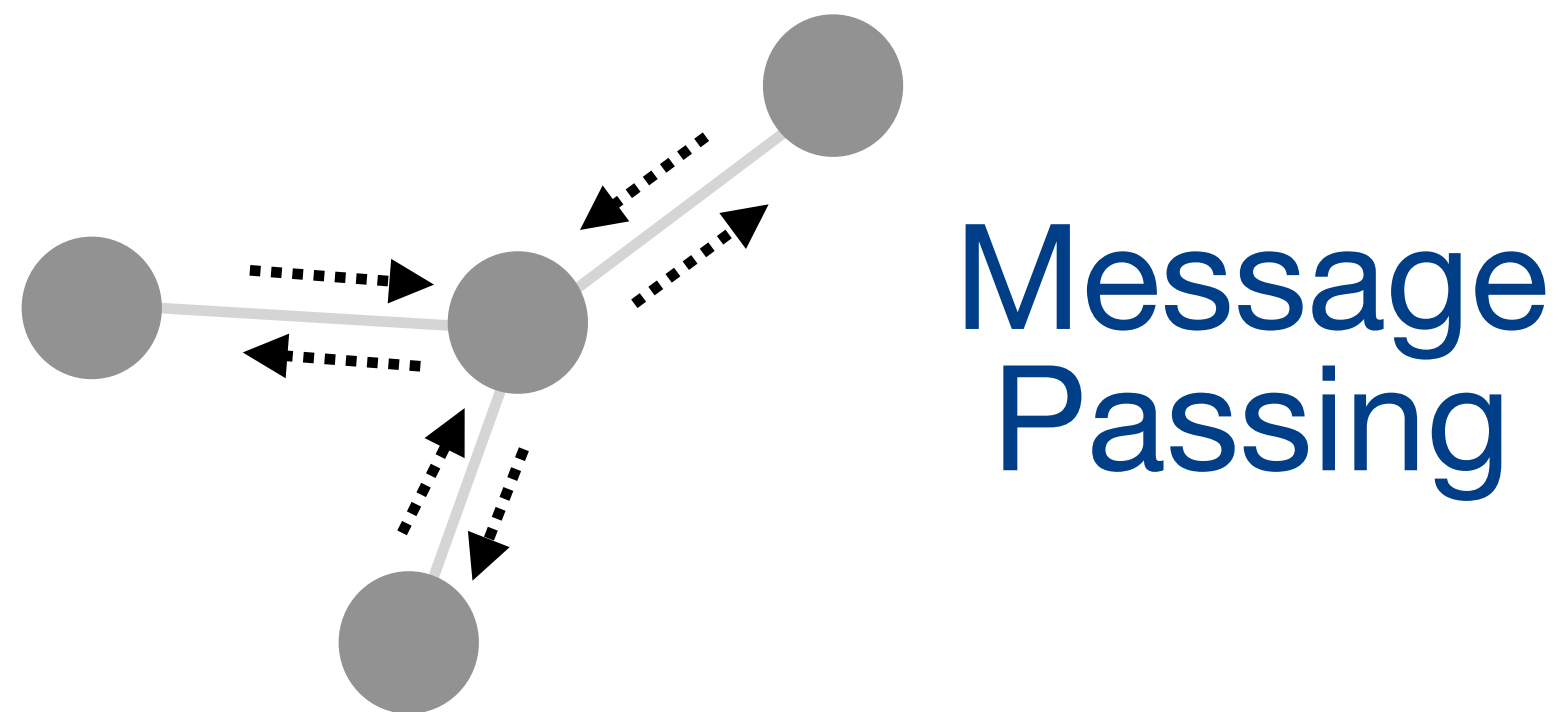
Research Background — Graph Neural Networks (GNNs)

Core Insights

- Integrate both node features and graph topology via either a **message passing framework** or a **graph filtering operation**.

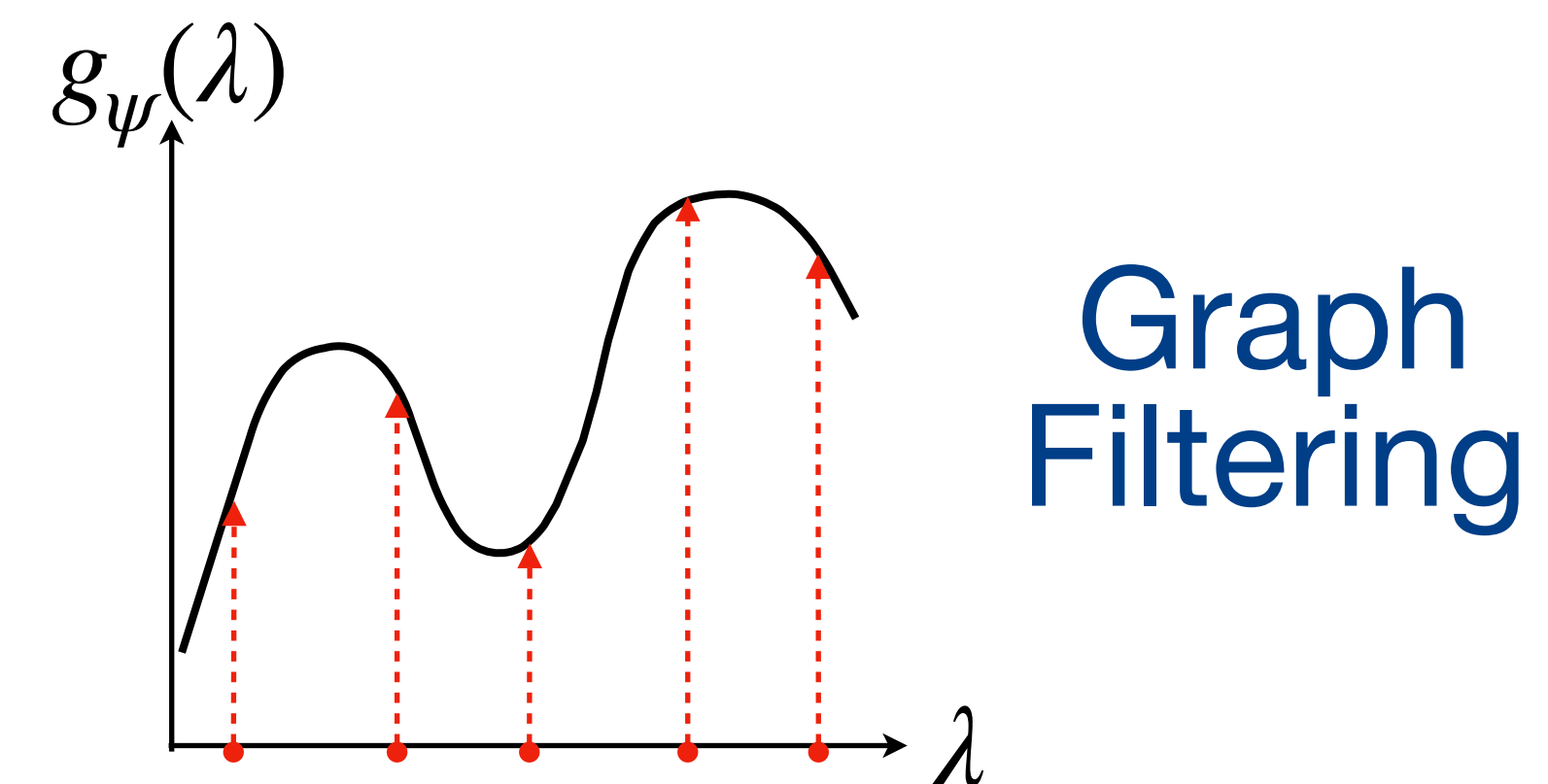
► Spatial-based Methods

$$\mathbf{z}_i = f_{upd}(\mathbf{x}_i, f_{agg}(\{\mathbf{x}_j \mid \forall v_j \in N_i\}))$$



► Spectral-based Methods

$$\mathbf{Z} = \mathbf{U}g_{\psi}(\Lambda)\mathbf{U}^T\mathbf{X}$$



Research Background — Unified Framework

Optimization Objective

- Can be interpreted as **different solutions to the same graph denoising problem**:

$$\arg \min_{\mathbf{Z}} \alpha \|\mathbf{X} - \mathbf{Z}\|_2^2 + (1 - \alpha) \text{tr}(\mathbf{Z}^T \hat{\mathbf{L}} \mathbf{Z})$$



keep close to the
original features

$$\uparrow \sum_{(v_i, v_j) \in E} \|\text{deg}_i^{-\frac{1}{2}} \mathbf{z}_i - \text{deg}_j^{-\frac{1}{2}} \mathbf{z}_j\|_2^2$$

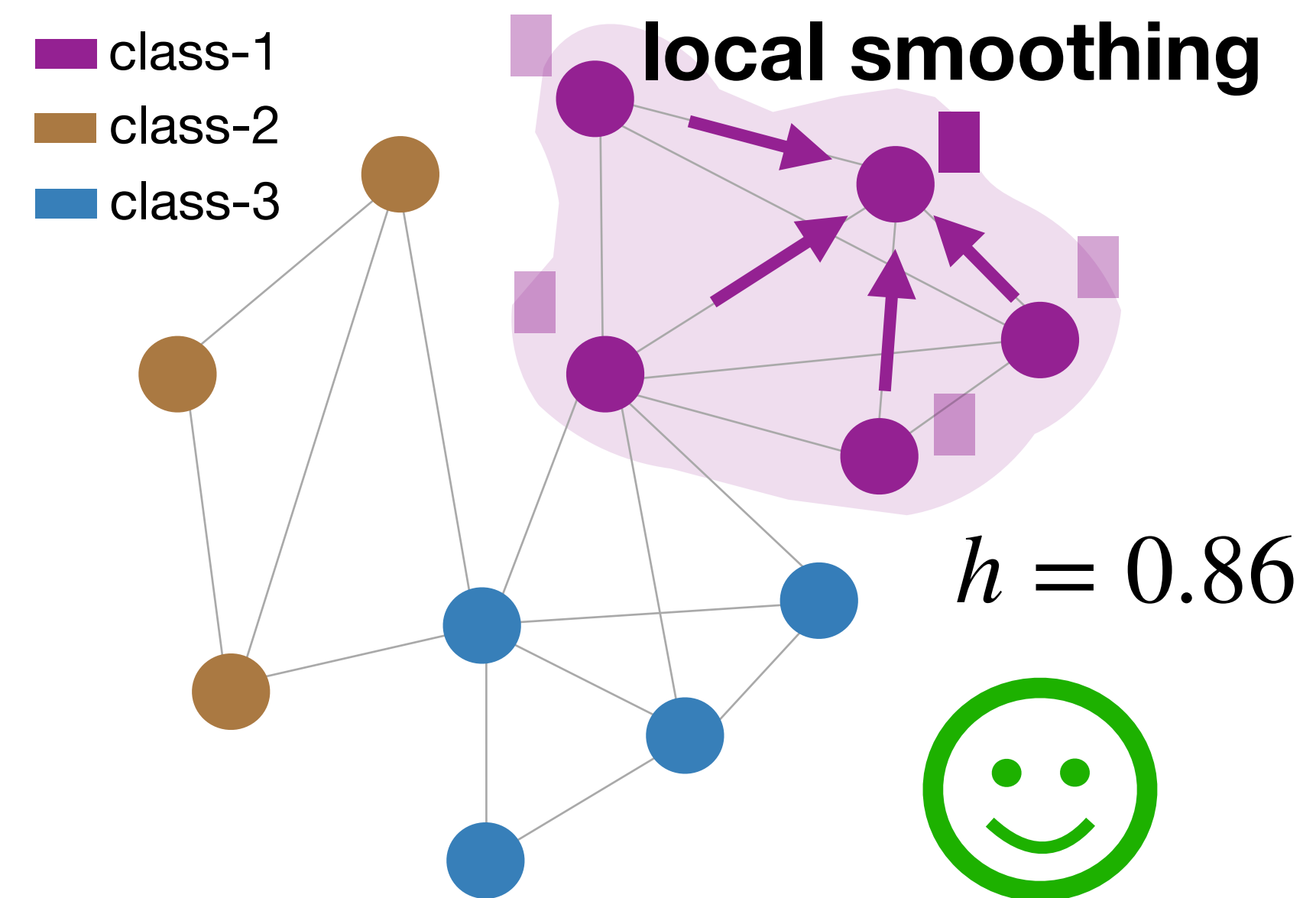
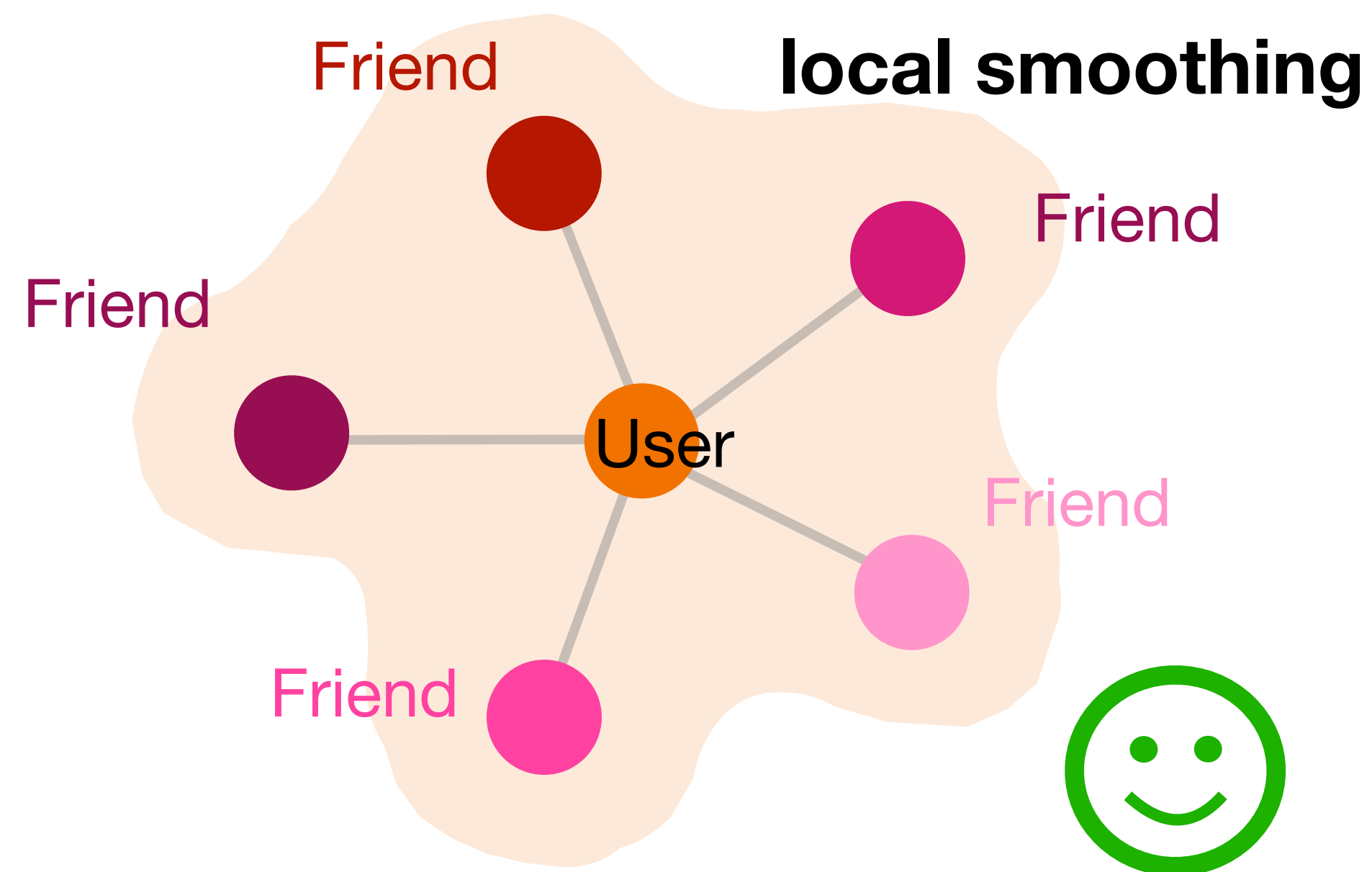
smooth node features
across the graph

Research Motivation & Challenges

Idealized Scenarios

$$h = \# \text{ intra-class edges} / \# \text{ total edges}$$

- Homogenous Node Relationships
- Homophilic Linking Patterns

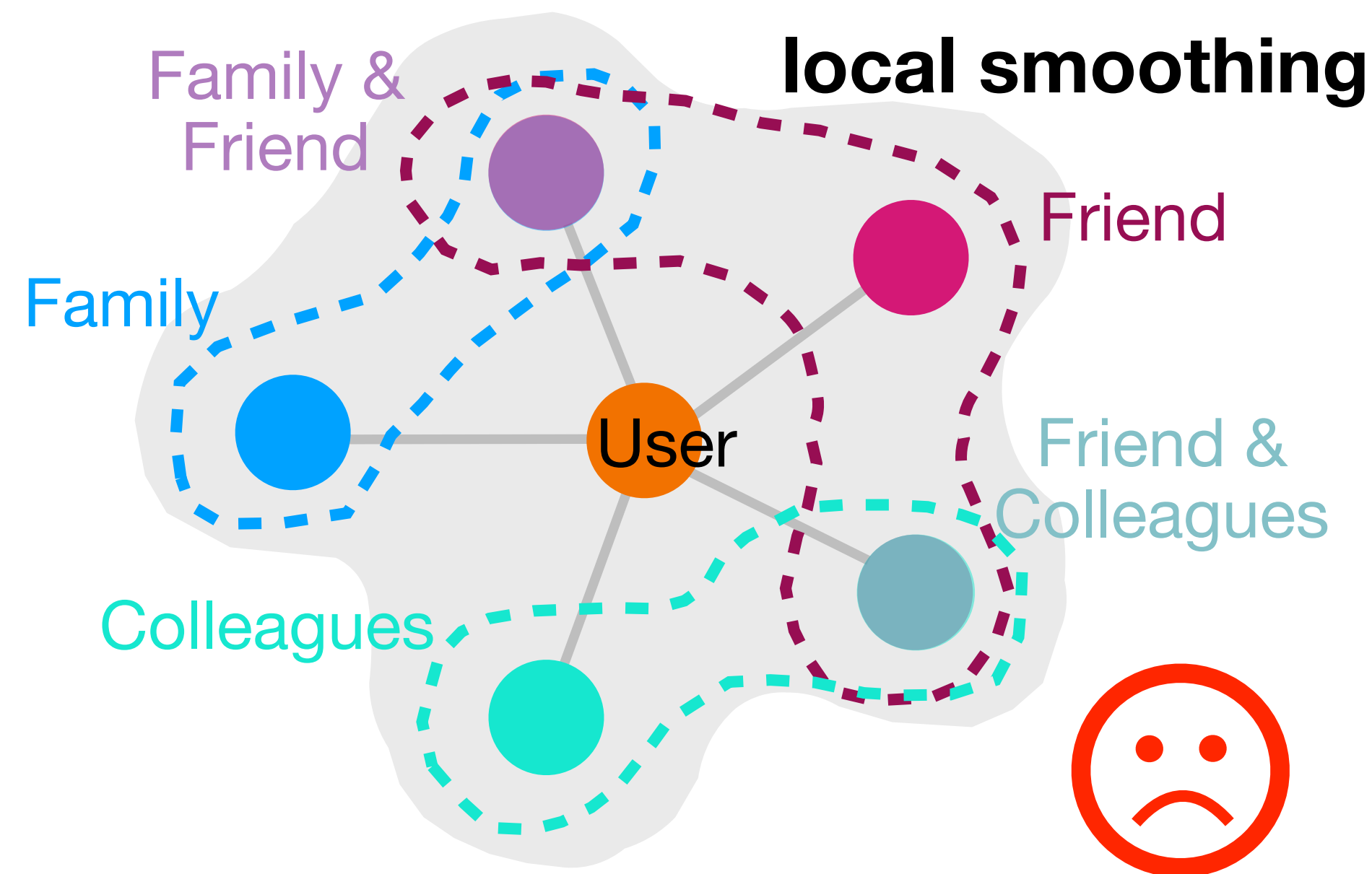


Conventional GNNs assume homogenous node interactions
and employ neighborhood smoothing.

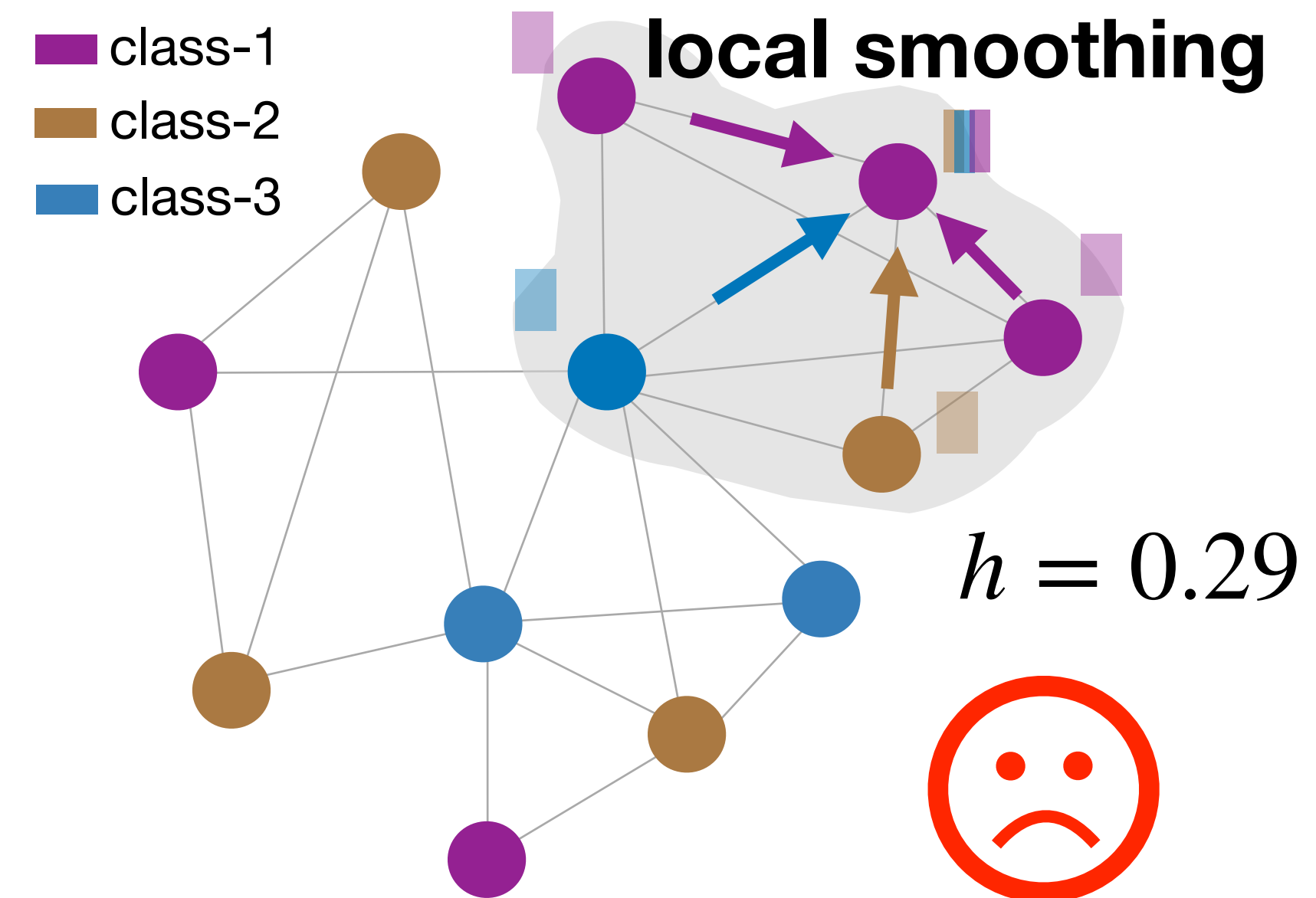
Research Motivation & Challenges

Real-world Scenarios

■ Entangled Node Relationships



■ Heterophilic Linking Patterns

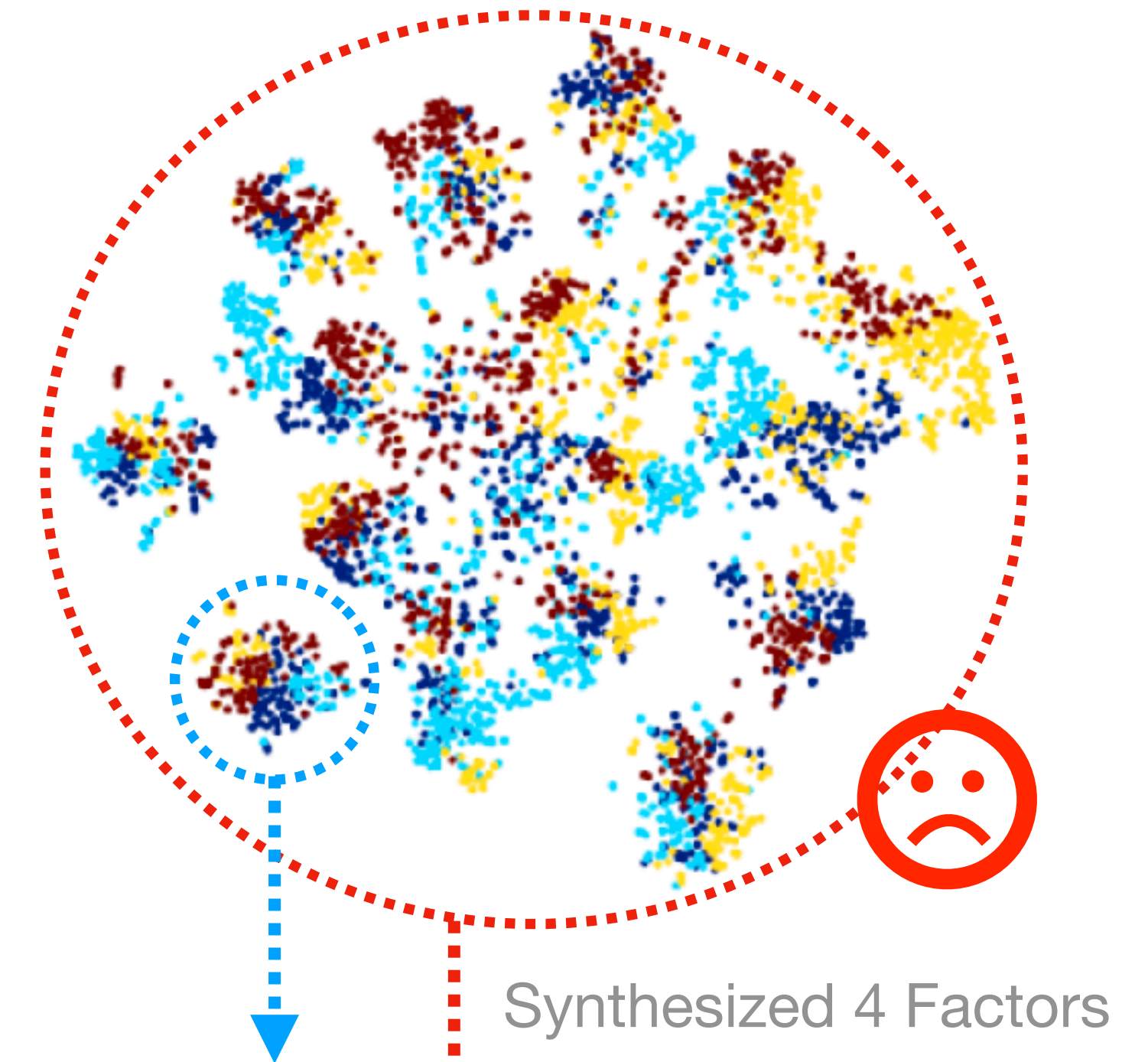
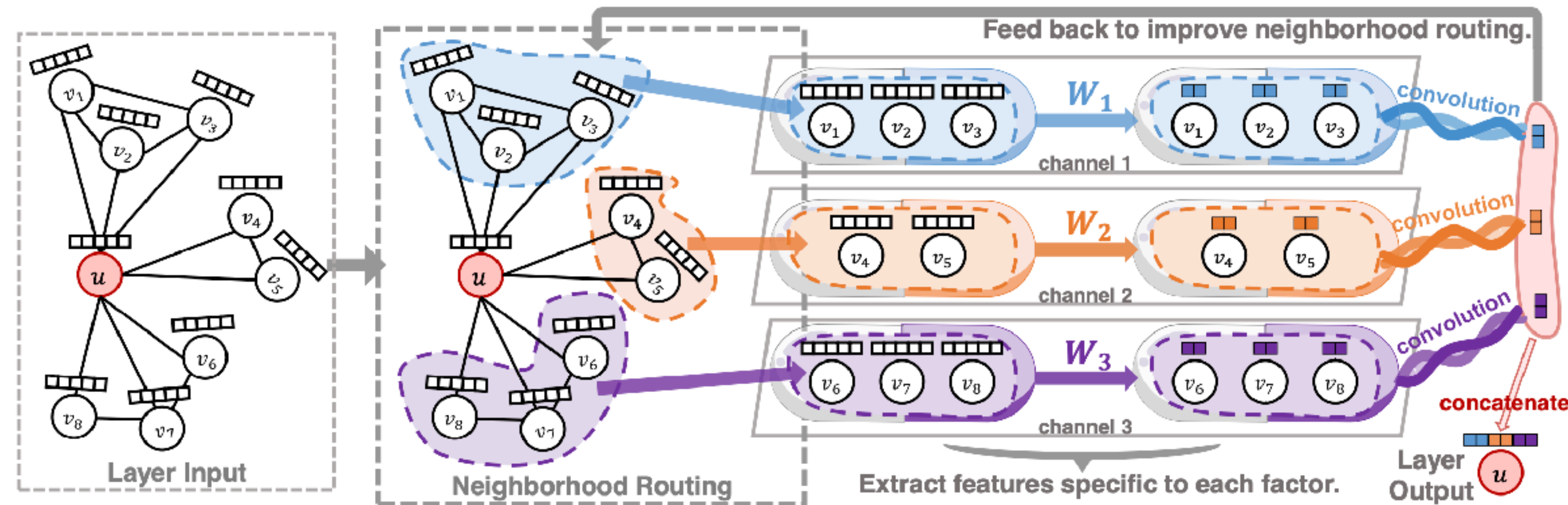


Conventional GNNs assume homogenous node interactions and employ neighborhood smoothing.

Solution #1: Local-Global Graph Disentanglement

Proposed Solution #1: Local-Global Graph Disentanglement

Our Findings (on existing graph disentanglement):

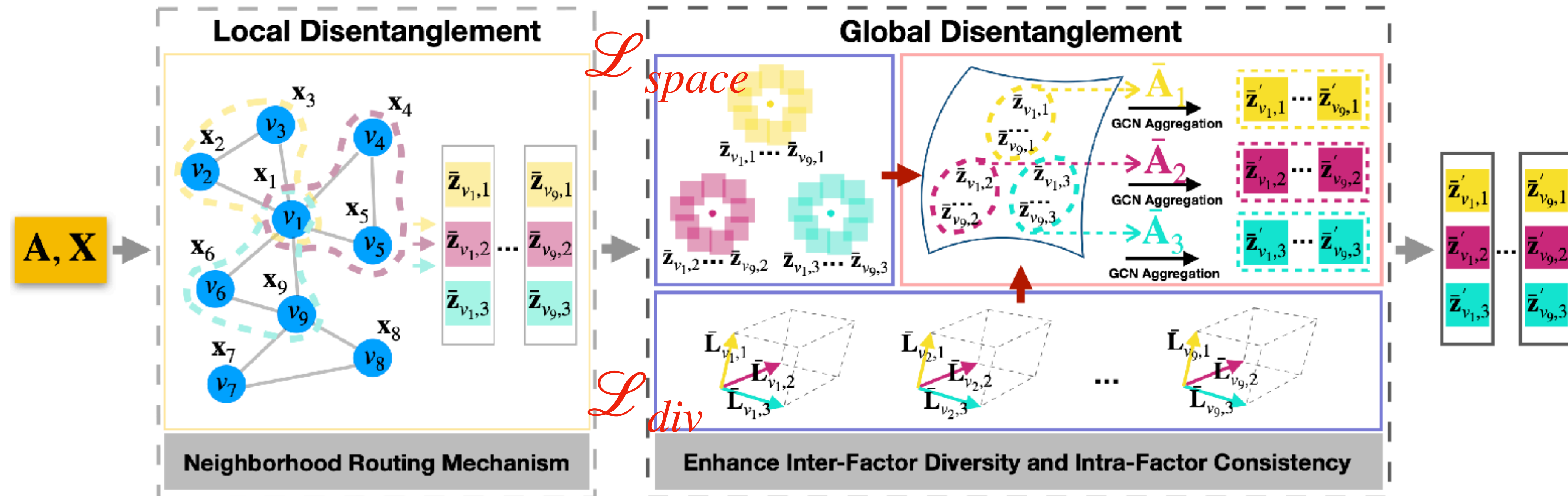


DisenGCN relies on a routing mechanism for **local neighborhood** partition.

“*disentangled locally yet entangled globally*”

Proposed Solution #1: Local-Global Graph Disentanglement

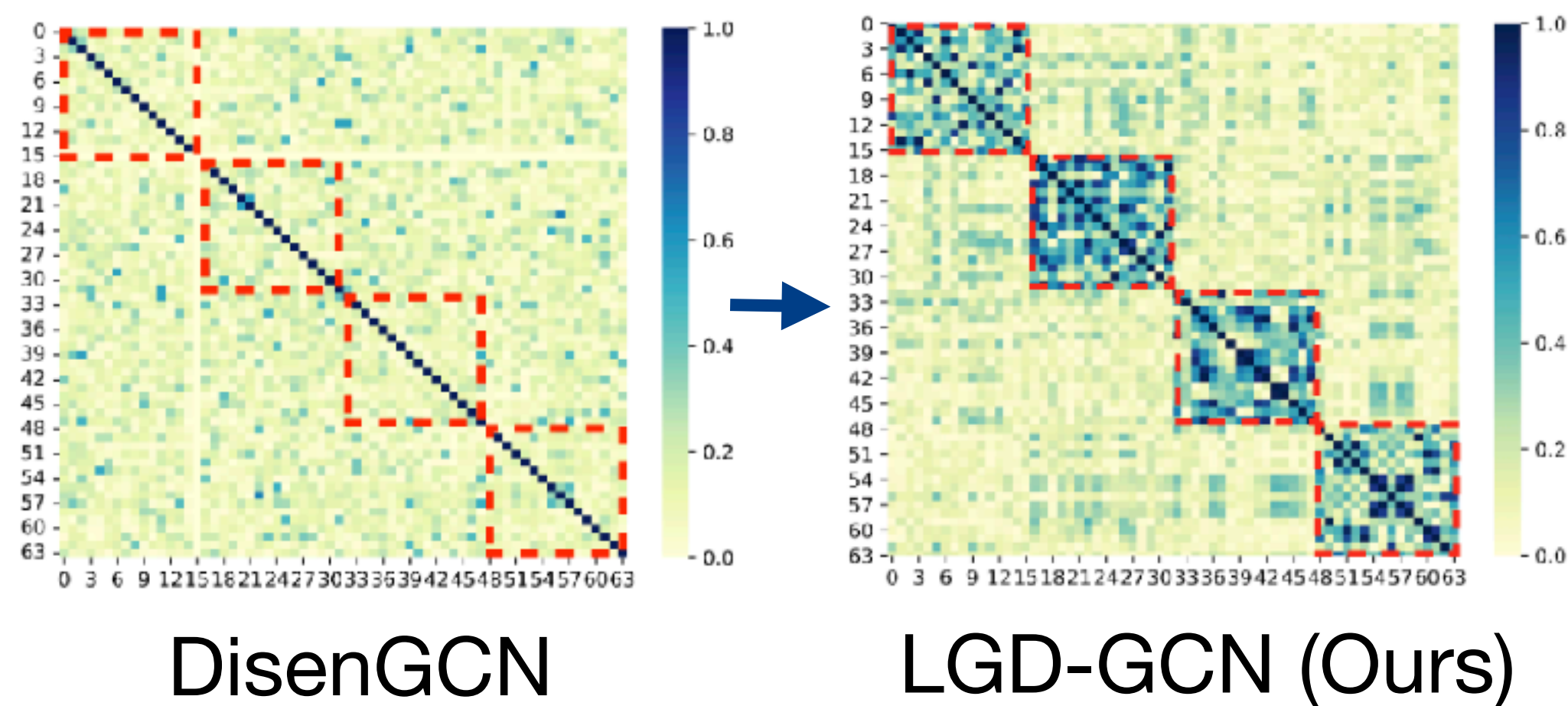
Our Modifications:



- ▶ Gaussian Mixture-based **Latent Space Regularization**
 - ▶ Global Message Passing along **Latent Node Relations**

Proposed Solution #1: Local-Global Graph Disentanglement

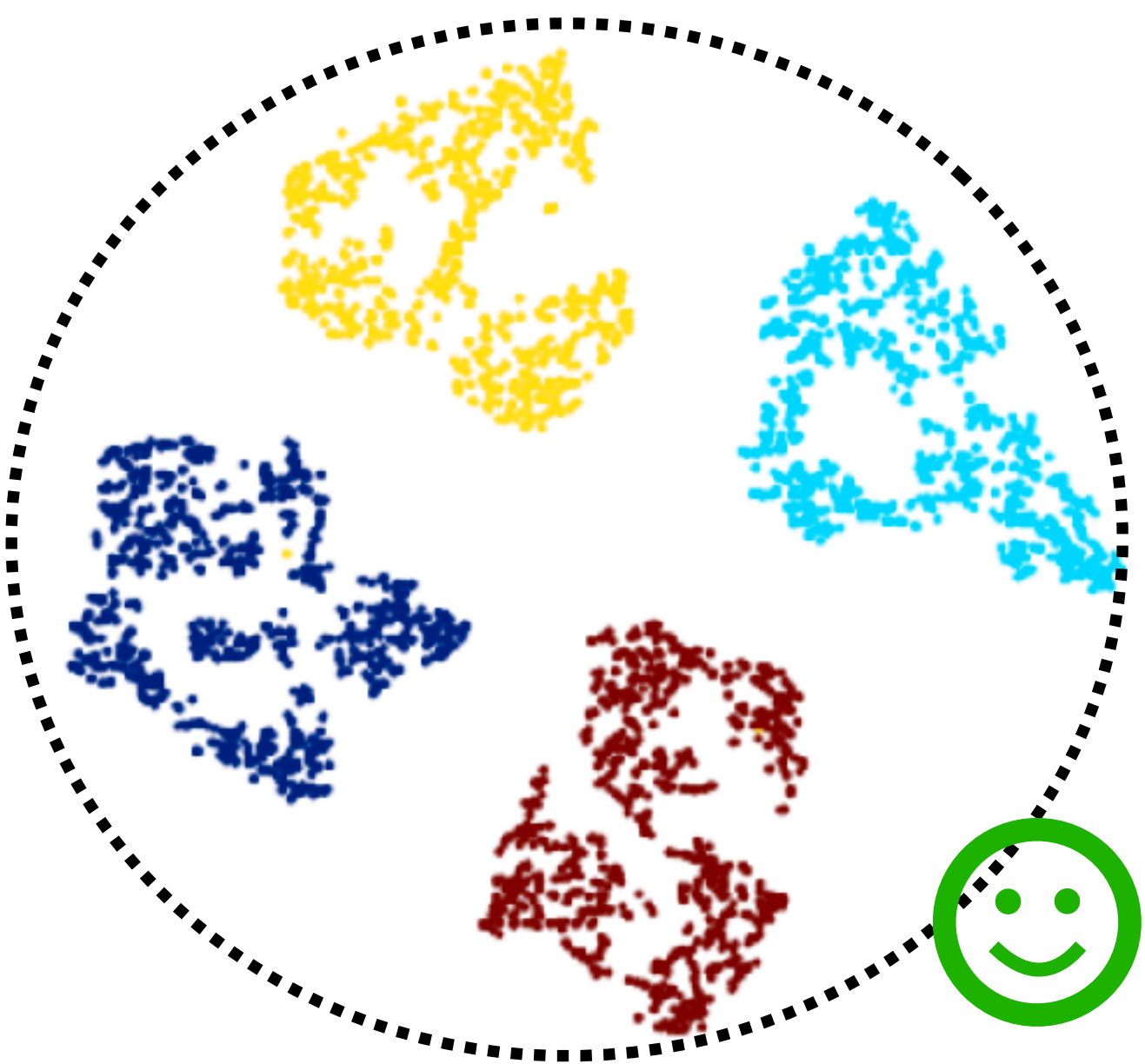
Empirical Results



Notable
Block-wise
Pattern

Accuracy
+7.4% on
Social Nets

Methods	Blogcatalog		Flickr	
MoNet [69]	74.7±0.4	74.6±0.5	61.7±0.7	61.6±1.0
GCN [6]	73.8±0.3	72.9±0.4	56.6±0.4	57.6±0.3
GraphSAGE [10]	73.7±0.3	73.0±0.4	56.3±0.4	57.0±0.4
GAT [11]	56.7±5.0	57.5±3.2	45.1±1.0	45.1±1.4
SGC [12]	74.5±0.3	73.7±0.4	61.4±0.2	60.6±0.3
JK-Net [13]	76.5±0.3	75.8±0.5	64.6±0.4	64.1±0.4
DisenGCN [17]	86.5±1.3	86.4±1.2	75.8±0.6	76.7±0.6
IPGDN [18]	86.9±0.9	86.1±1.1	75.9±0.5	76.8±0.6
FactorGCN [47]	78.4±1.3	77.6±2.1	47.0±1.7	45.4±2.0
LGD-GCN (ours)	93.7±0.4	93.9±0.4	85.5±0.6	84.0±0.8



Synthesized 4 Factors

“disentangled *both*
locally and globally”

Solution #2: Edge-Splitting Graph Neural Networks

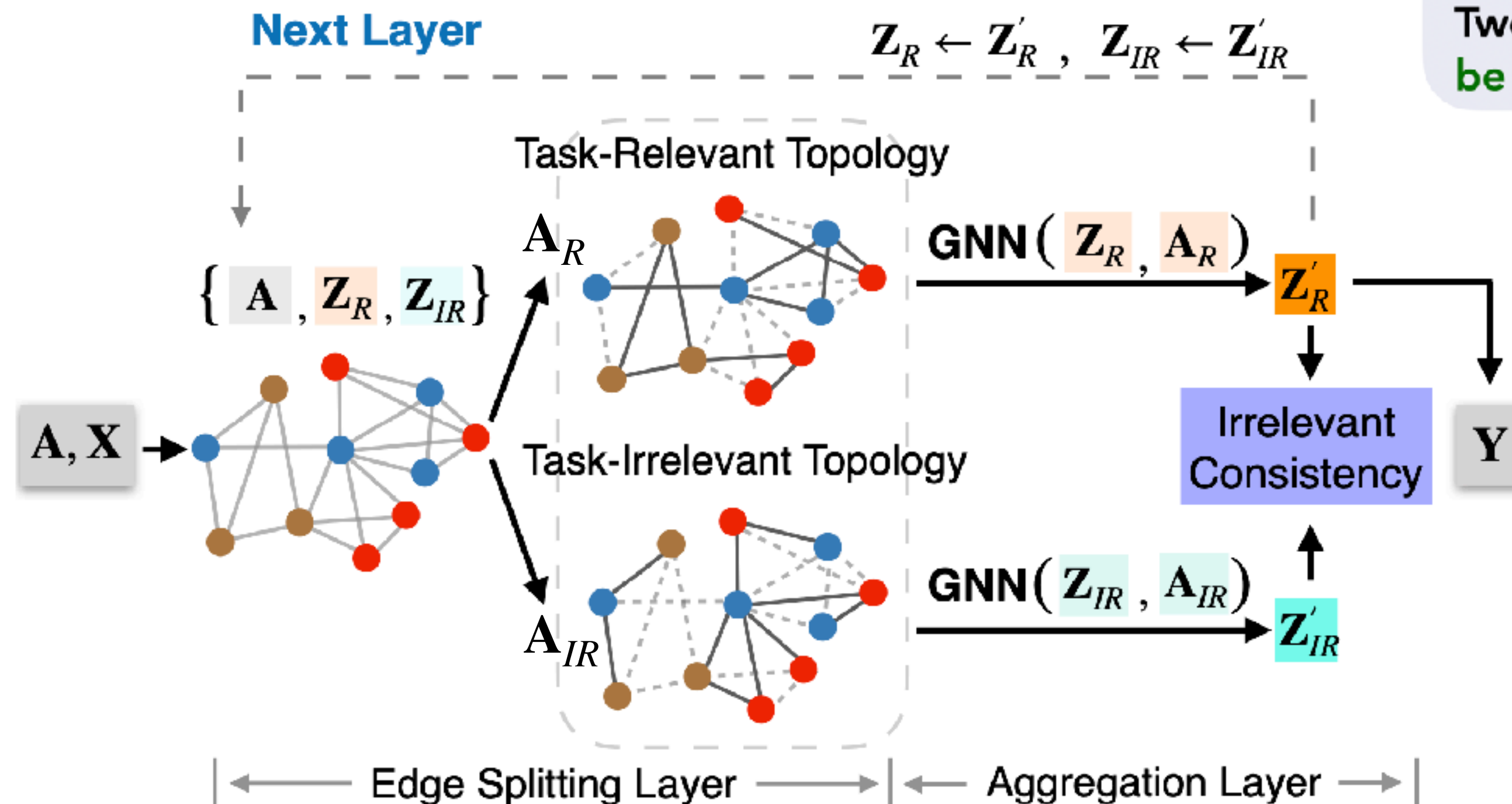
Proposed Solution #2: Edge Splitting Graph Neural Networks

Conventional Smoothness Assumption

Two connected nodes **mostly share task-beneficial similarity**.

Disentangled Smoothness Assumption (Ours)

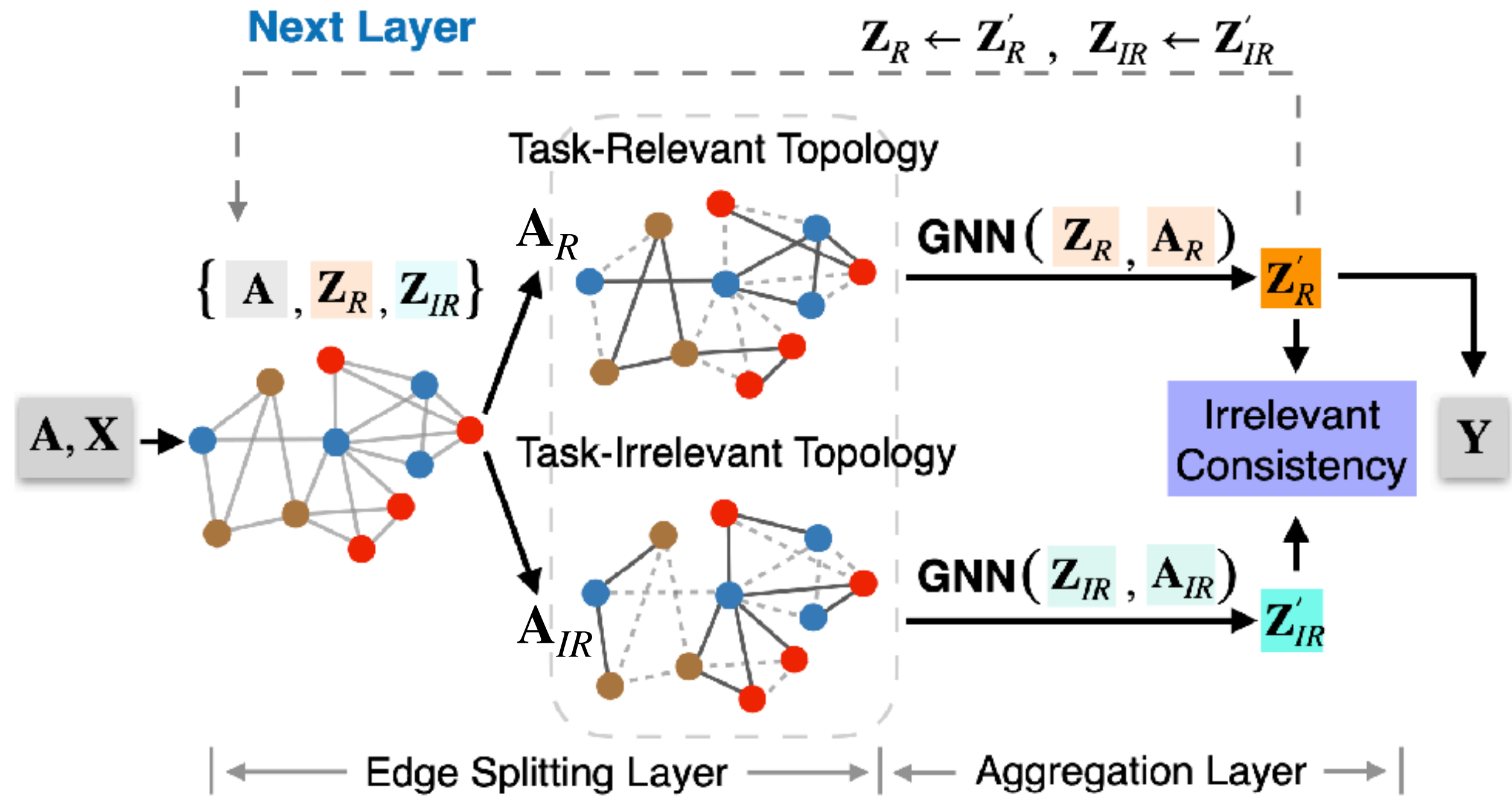
Two connected nodes share similarity in some features, **which could be either relevant or irrelevant (even harmful) to learning tasks**.



Our ES-GNN addresses heterophilic graphs by **partitioning network topology**, and **disentangling node features**.

Proposed Solution #2: Edge Splitting Graph Neural Networks

Key Components



Residual Scoring Mechanism

$$\begin{cases} A_{R(i,j)} - A_{IR(i,j)} = \alpha_{i,j} \\ A_{R(i,j)} + A_{IR(i,j)} = 1 \end{cases}$$

This gives us $A_{R(i,j)} = \frac{1+\alpha_{i,j}}{2}$ and $A_{IR(i,j)} = \frac{1-\alpha_{i,j}}{2}$ with $-1 \leq \alpha_{i,j} \leq 1$. To effectively quantify the interaction (or $\alpha_{i,j} = \tanh(\mathbf{g} [Z_R[i,:] \oplus Z_{IR}[i,:] \oplus Z_R[j,:] \oplus Z_{IR}[j,:]]^T)$.

Irrelevant Consistency Reg.

// Prediction.

$$\hat{y}_i = \text{softmax}(\mathbf{W}_F^T \mathbf{Z}_{R[i,:]}^{(K)} + \mathbf{b}_F), \forall v_i \in \mathcal{V}.$$

// Optimization with Irrelevant Consistency Regularization.

$$\mathcal{L}_{ICR} = \sum_{(v_i, v_j) \in \mathcal{E}} (1 - \delta(\hat{y}_i, \hat{y}_j)) \|\mathbf{Z}_{IR[i,:]} - \mathbf{Z}_{IR[j,:]\|_2^2.$$

$$\mathcal{L}_{\text{pred}} = -\frac{1}{|\mathcal{V}_{\text{tm}}|} \sum_{i \in \mathcal{V}_{\text{tm}}} \mathbf{y}_i^T \log(\hat{y}_i).$$

Minimize $\mathcal{L}_{\text{pred}} + \lambda_{ICR} \mathcal{L}_{ICR}$.

Proposed Solution #2: Edge Splitting Graph Neural Networks

Theoretical Justification

■ Conventional GNNs

Graph Denoising Problem

$$\arg \min_{\mathbf{Z}} \|\mathbf{Z} - \mathbf{X}\|_2^2 + \xi \cdot \text{tr}(\mathbf{Z}^T \mathbf{L} \mathbf{Z})$$

Our Analysis



$$L = L_R + L_{IR}$$

$$\arg \min_{\mathbf{Z}} \|\mathbf{Z} - \mathbf{X}\|_2^2 + \xi \cdot \text{tr}(\mathbf{Z}^T \mathbf{L}_R \mathbf{Z}) + \xi \cdot \text{tr}(\mathbf{Z}^T \mathbf{L}_{IR} \mathbf{Z})$$

Possible classification-harmful information could be preserved in \mathbf{Z}

■ Our ES-GNN

Disentangled Graph Denoising Problem

$$\arg \min_{\mathbf{Z}_R, \mathbf{Z}_{IR}} \|\mathbf{Z}_R - \mathbf{X}_{IR}\|_2^2 + \|\mathbf{Z}_{IR} - \mathbf{X}_{IR}\|_2^2 + \xi \cdot \text{tr}(\mathbf{Z}_R^T \mathbf{L}_R \mathbf{Z}_R) + \xi \cdot \text{tr}(\mathbf{Z}_{IR}^T \mathbf{L}_{IR} \mathbf{Z}_{IR})$$

$$\text{where } \mathbf{L}_R = \mathbf{D}_R - \mathbf{A}_R, \mathbf{L}_{IR} = \mathbf{D}_{IR} - \mathbf{A}_{IR}$$

$$\text{s.t. } \mathbf{A}_R + \mathbf{A}_{IR} = \mathbf{A}$$

$$\mathbf{A}_{R(i,j)}, \mathbf{A}_{IR(i,j)} \in [0, 1].$$

Possible classification-harmful information can be excluded from \mathbf{Z}_R & disentangled in \mathbf{Z}_{IR}

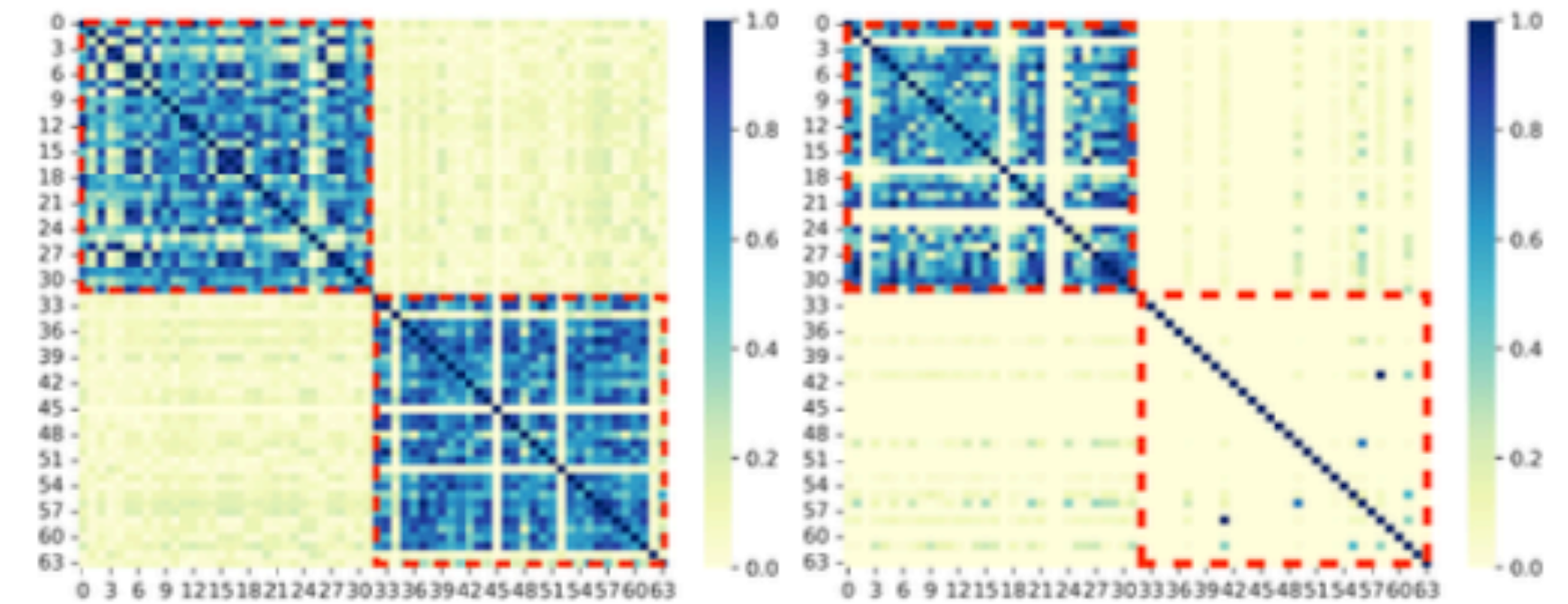
Proposed Solution #2: Edge Splitting Graph Neural Networks

Empirical Results

Node classification accuracies (%) over 100 runs. Error Reduction gives the average improvement of ES-GNN upon baselines w/o Basic GNNs.

Datasets	Heterophilic Graphs							Homophilic Graphs			
	Squirrel	Chameleon	Wisconsin	Cornell	Texas	Twitch-DE	Actor	Cora	Citeseer	Pubmed	Polblogs
GCN [30]	55.2±1.5	67.6±2.0	59.5±3.6	52.8±6.0	61.7±3.7	74.0±1.2	31.2±1.3	79.7±1.2	69.5±1.7	78.7±1.6	89.4±0.9
SGC [6]	50.7±1.3	61.9±2.6	53.7±3.9	51.2±0.9	51.4±2.2	73.9±1.3	30.9±0.6	79.1±1.0	69.9±2.0	76.6±1.3	89.0±1.5
GAT [26]	54.8±2.2	67.3±2.2	57.9±4.5	50.4±5.9	55.4±5.9	73.7±1.3	30.5±1.2	82.0±1.1	69.9±1.7	78.6±2.0	87.4±1.1
NeuralSparse [48]	40.0±1.6	60.5±2.0	70.8±3.4	64.1±5.5	66.4±5.7	71.3±1.3	35.5±1.1	78.5±1.4	69.7±1.8	79.1±1.2	89.3±0.9
GCN-LPA [49]	54.2±1.1	63.4±1.9	63.3±3.7	65.6±7.3	61.2±7.6	74.0±1.2	37.8±0.9	80.4±1.5	69.7±1.7	79.7±1.3	89.7±0.8
DisenGCN [66]	42.4±1.6	58.4±2.3	78.1±4.0	77.4±4.4	71.3±5.7	73.5±1.7	36.7±1.2	81.5±1.3	69.2±1.7	80.0±1.6	89.5±0.9
FactorGCN [33]	56.6±2.4	69.8±2.0	64.2±4.8	50.6±1.8	69.5±6.5	73.1±1.4	29.0±1.4	75.2±1.6	61.6±2.0	72.9±2.3	87.9±1.7
VEPM [71]	50.3±1.7	67.3±2.1	55.6±4.9	51.2±7.0	55.8±4.3	73.3±1.2	29.3±1.1	82.2±1.2	69.1±1.9	78.8±1.6	89.5±0.9
DisCNN [72]	55.1±4.8	68.2±1.9	54.6±5.4	52.0±5.7	60.6±3.9	69.2±0.8	30.2±1.3	78.2±1.4	66.2±2.2	77.6±1.7	89.6±0.9
GEN [13]	36.0±4.0	57.6±3.1	83.3±3.6	81.0±3.9	78.3±8.0	74.1±1.4	37.3±1.4	79.8±1.3	69.7±1.6	78.9±1.7	89.6±1.4
WRGAT [14]	39.6±1.4	57.7±1.6	82.9±4.5	79.2±3.5	80.5±6.1	70.0±1.3	38.6±1.1	71.7±1.5	64.1±1.9	73.3±2.1	88.2±1.2
H2GCN [10]	45.1±1.9	62.9±1.9	82.6±4.0	79.6±4.9	79.8±7.3	73.1±1.5	38.4±1.0	81.4±1.4	68.7±2.0	78.0±2.0	89.0±1.0
FAGCN [18]	50.4±2.6	68.9±1.8	82.3±4.4	79.4±5.5	80.3±5.5	74.1±1.4	37.9±1.0	82.6±1.3	70.3±1.6	80.0±1.7	89.3±1.1
GPR-GNN [11]	54.1±1.6	69.6±1.7	82.7±4.1	79.9±5.3	81.7±4.9	74.0±1.6	38.0±1.1	81.5±1.5	69.6±1.7	79.8±1.3	89.5±0.8
GloGNN++ [23]	63.3±1.2	71.4±2.0	84.9±4.2	82.0±3.5	81.4±5.6	72.8±1.1	38.2±1.2	80.9±1.4	70.5±1.9	76.8±2.1	89.6±0.8
ACM-GCN [46]	67.0±1.3	75.3±2.2	84.3±4.5	82.1±4.9	82.2±5.9	74.2±0.9	36.6±1.0	81.3±1.0	69.4±1.7	79.5±1.4	89.6±0.9
GOAL [47]	57.9±0.9	71.3±2.0	70.5±5.1	54.9±6.6	72.0±7.4	68.5±1.5	36.3±1.0	80.6±1.4	69.7±2.0	78.7±1.3	88.7±1.6
ES-GNN (ours)	62.4±1.4	72.3±2.1	85.3±4.6	82.2±4.0	82.3±5.7	74.7±1.1	38.9±0.8	83.0±1.1	70.7±1.7	80.7±1.4	89.7±0.9
Error Reduction	11.5%	6.4%	11.0%	11.7%	9.4%	2.2%	3.2%	3.3%	2.3%	2.6%	0.5%

Heterophilic vs. Homophilic



(a) Chameleon

(b) Cora

Block #1: Task-Relevant

Block #2: Task-Irrelevant

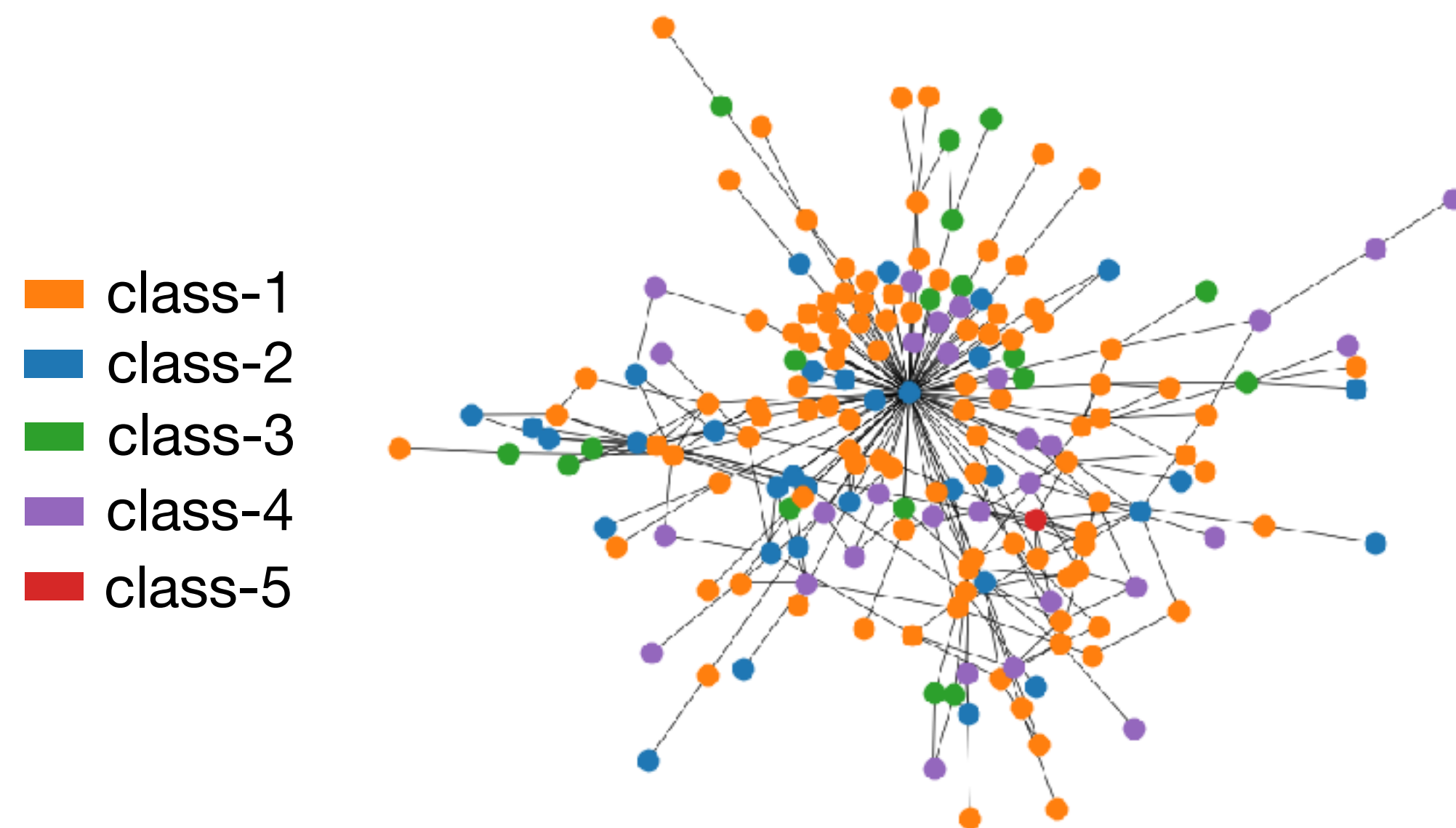
ES-GNN disentangle task-relevant and irrelevant features, showcasing **universal adaptivity on different network types.**

Solution #3: Diverse Spectral Filtering Framework

Proposed Solution #3: Diverse Spectral Filtering

New Graph Heterophily Insights From a Broader Context

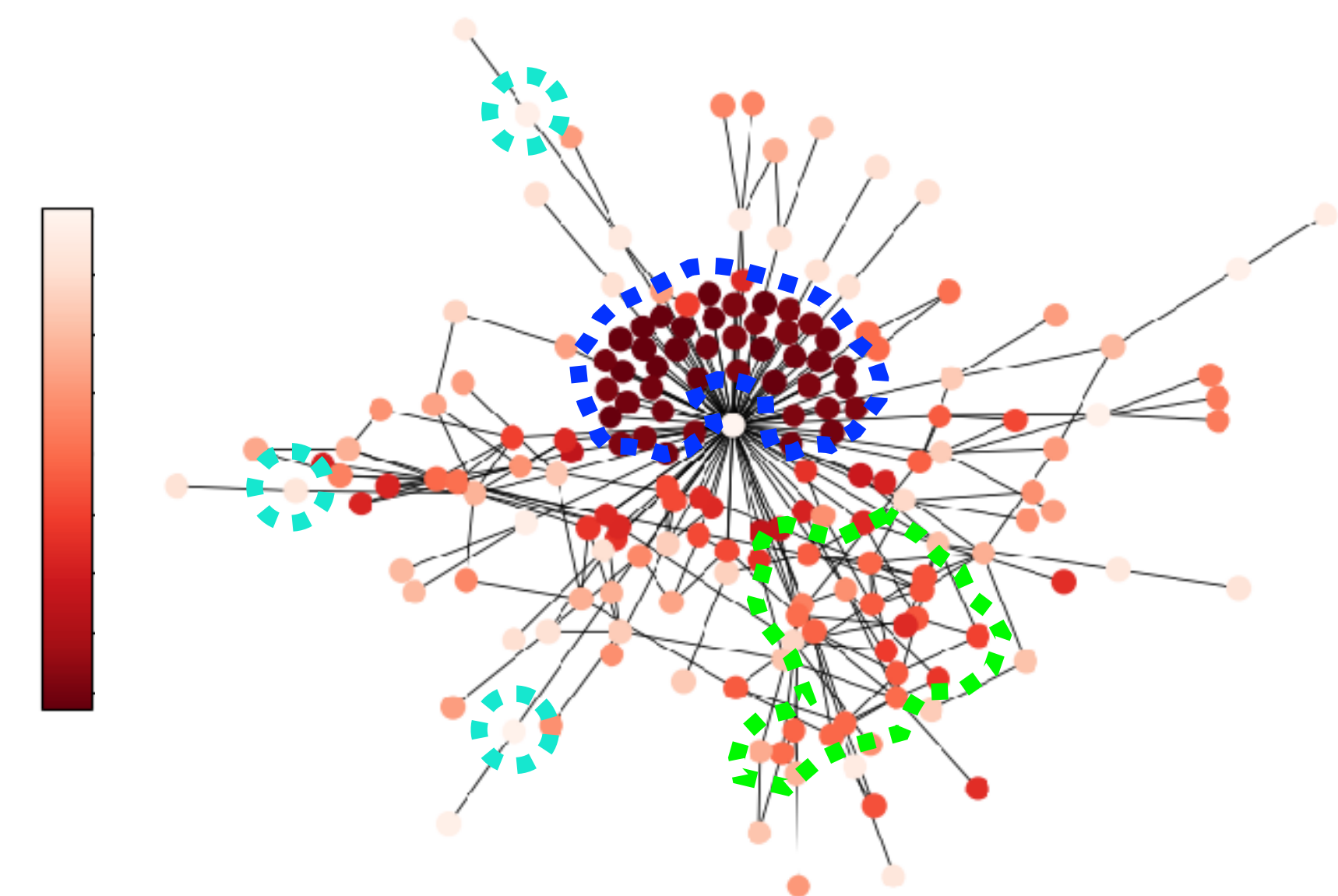
■ Edge-level



label similarity / dissimilarity

Pairwise Distinction

■ Subgraph-level



structure similarity / dissimilarity

Regional Disparity

Proposed Solution #3: Diverse Spectral Filtering

$$\mathbf{Z} = g_{\psi}(\hat{\mathbf{L}})\mathbf{X} = \sum_{k=0}^K \psi_k P_k(\hat{\mathbf{L}})\mathbf{X}$$

Filter Function \downarrow

Polynomial Approx. with Shared Parameters for each K-hop Neighborhood \dashrightarrow

Most spectral GNNs assumes homogenous distributions between different graph regions. 😞

$$\mathbf{Z} = \sum_{k=0}^K \begin{pmatrix} \beta_{k,1} & 0 & \cdots & 0 \\ 0 & \beta_{k,2} & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & \beta_{k,N} \end{pmatrix} P_k(\hat{\mathbf{L}})\mathbf{X}$$

We augment the original parameters into **node-specific filter weights** to model diverse regional patterns. 😊

Proposed Solution #3: Diverse Spectral Filtering

Label Homophily

$$h = \frac{|\{(v_i, v_j) | y_i = y_j \wedge (v_i, v_j) \in \mathcal{E}\}|}{|\mathcal{E}|} \quad [0,1]$$



Definition 1 (Local Label Homophily). We define the Local Label Homophily as a measure of the local homophily level surrounding each node v_i :

$$h_i = \frac{|\{(v_p, v_q) | y_p = y_q \wedge (v_p, v_q) \in \mathcal{E}_{i,k}\}|}{|\mathcal{E}_{i,k}|}$$

Here, h_i directly computes the edge homophily ratio [50] on the subgraph made up of the k -hop neighbors, and $\mathcal{E}_{i,k} = \{(v_p, v_q) | v_p, v_q \in \mathcal{N}_{i,k} \wedge (v_p, v_q) \in \mathcal{E}\}$ denotes its edge set.

Graph Frequency (Eigenvalue)

$$\begin{aligned} \lambda_n &= \mathbf{u}_n^T \hat{\mathbf{L}} \mathbf{u}_n \\ &= \sum_{(v_p, v_q) \in \mathcal{E}} \left(\frac{1}{\sqrt{\deg_p}} \mathbf{u}_{n,p} - \frac{1}{\sqrt{\deg_q}} \mathbf{u}_{n,q} \right)^2 \end{aligned} \quad [0,1]$$

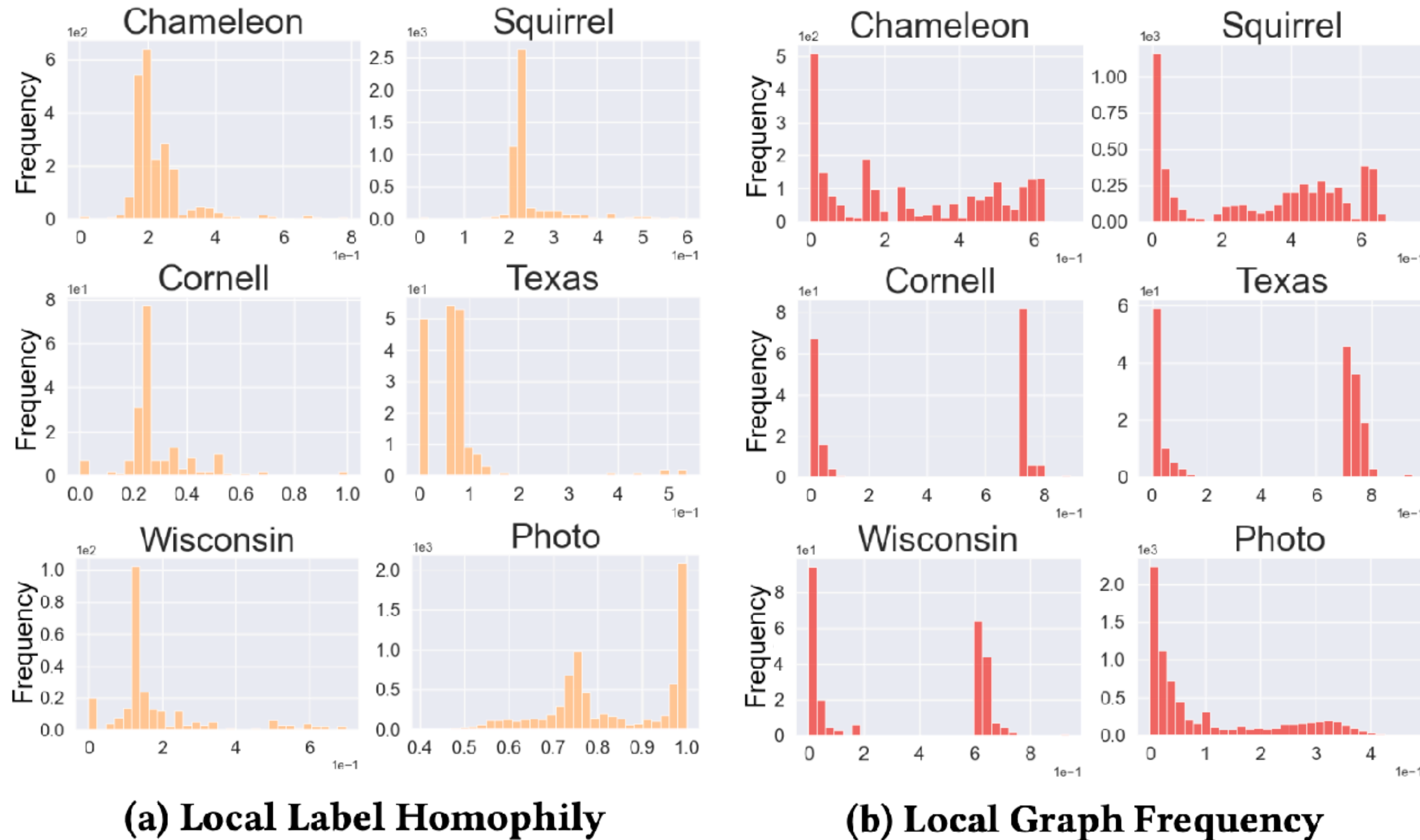


Definition 2 (Local Graph Frequency). The Local Graph Frequency is defined by measuring the local smoothness level of the decomposed Laplacian eigenbases, and for each node v_i we have:

$$\lambda_{n,i} = \sum_{(v_p, v_q) \in \mathcal{E}_{i,k}} \left(\frac{1}{\sqrt{\deg_p}} \mathbf{u}_{n,p} - \frac{1}{\sqrt{\deg_q}} \mathbf{u}_{n,q} \right)^2$$

where $\lambda_{n,i}$ denotes the frequency or smoothness level of each Laplacian eigenbasis \mathbf{u}_n upon the subgraph induced by the k -hop neighbors. Since all summed elements in Eq. 1 are positive and $\mathcal{E}_{i,k} \subseteq \mathcal{E}$, we can always have a $\xi_i \in (0, 1)$ such that $\lambda_{n,i} = \xi_i \lambda_n$.

Proposed Solution #3: Diverse Spectral Filtering



Evident **regional disparity** can be observed on Real-world Graphs.

Proposed Solution #3: Diverse Spectral Filtering

Reshaping Homogenous Spectral Filtering

$$\begin{array}{ccc} \text{Scalar coefficient} & & \text{Graph frequency} \\ \downarrow & & \downarrow \\ \mathbf{Z} = \sum_{n=1}^N \tilde{\mathbf{S}}_n \cdot \mathbf{U}_n & \tilde{\mathbf{S}}_n = \sum_{k=0}^K \alpha_k P_k(\lambda_n) \mathbf{U}_n^T \mathbf{X} & \\ \hline \downarrow & & \\ \text{Hadamard product} & & \text{The } i\text{-th element of vector coefficients} \\ \mathbf{Z} = \sum_{n=1}^N \tilde{\mathbf{S}}_n \odot \mathbf{U}_n & \tilde{\mathbf{S}}_n(i) = \sum_{k=0}^K \alpha_k P_k(\lambda_{n,i}) \mathbf{U}_n^T \mathbf{X} & \\ \uparrow & & \uparrow \\ \text{Vector} & & \text{Local graph frequency} \end{array}$$

Proposed Solution #3: Diverse Spectral Filtering

Approximation Trick

Substitution using $\lambda_{n,i} = \xi_i \lambda_n$ s.t. $0 < \xi_i < 1$

Proposition 1. Suppose a K -order polynomial function $f : [0, 2] \rightarrow \mathbb{R}$ with polynomial basis $P_k(\cdot)$ and coefficients $\{\alpha_k\}_{k=0}^K$ in real number. For any pair of variables $x, \hat{x} \in [0, 2]$ satisfying $x = \xi \hat{x}$ where ξ is a constant real number, we always have a function $g : [0, 2] \rightarrow \mathbb{R}$ with the same polynomial basis but a different set of coefficients $\{\beta_k\}_{k=0}^K$ such that $f(x) = g(\hat{x})$.

$$\text{It allows } \mathbf{S}'_n(i) = \sum_{k=0}^K \alpha_k P_k(\lambda_{n,i}) \mathbf{U}_n^T \mathbf{X} = \sum_{k=0}^K \beta_{k,i} P_k(\lambda_i) \mathbf{U}_n^T \mathbf{X}$$

$$\mathbf{Z} = \sum_{k=0}^K \mathbf{diag}(\beta_{k,1}, \beta_{k,2}, \dots, \beta_{k,N}) P_k(\hat{\mathbf{L}}) \mathbf{X}$$

Proposed Solution #3: Diverse Spectral Filtering

Key Designs

■ Local and Global Weight Decomposition

$$\beta_{k,i} \leftarrow \gamma_i \cdot \alpha_{k,i}$$



■ Position-aware Filter Weights

$$\arg \min_{\mathbf{P}} \|\mathbf{P}^{(0)} - \mathbf{P}\|_2^2 + \kappa_1 \text{tr}(\mathbf{P}^T \hat{\mathbf{L}} \mathbf{P}) + \kappa_2 \|\mathbf{P}^T \mathbf{P} - \mathbf{I}\|_2^2$$

\mathbf{P} denotes node positional embeddings

$$\alpha_{k,i} = \sigma_p(\mathbf{W}^{(k)} \mathbf{P}_i^{(k)} + \mathbf{b}^{(k)}) \quad k = 1, 2, \dots, K$$

Proposed Solution #3: Diverse Spectral Filtering

Empirical Results — Classification Accuracy

Table 2: Node classification accuracies (%) \pm 95% confidence interval over 100 runs.

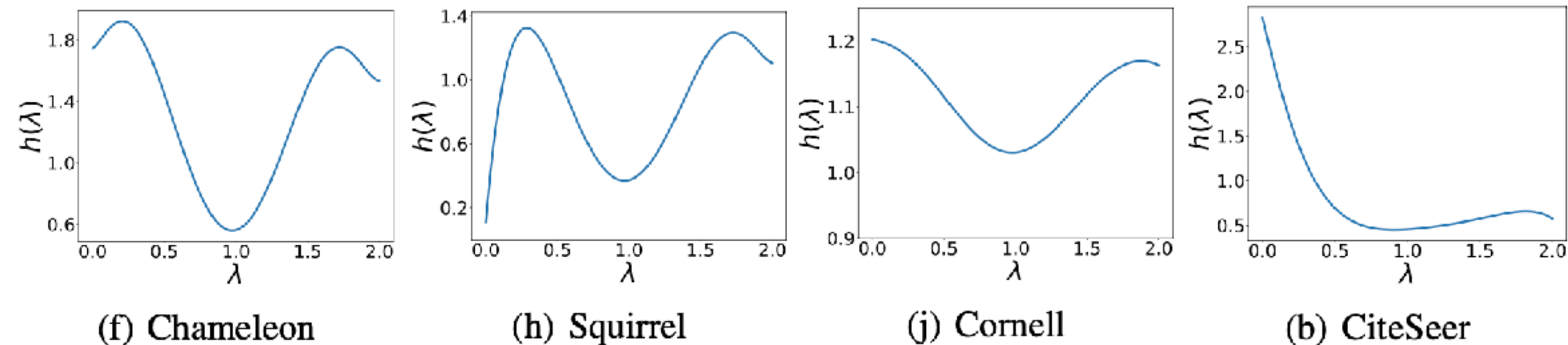
Datasets	Heterophilic Graphs						Homophilic Graphs				
	Chameleon	Squirrel	Wisconsin	Cornell	Texas	Twitch-DE	Cora	Citeseer	Pubmed	Computers	Photo
GPR-GNN [9]	69.01 \pm 0.50	55.39 \pm 0.33	82.72 \pm 0.85	80.81 \pm 0.78	81.66 \pm 1.02	74.07 \pm 0.18	89.03 \pm 0.20	77.63 \pm 0.28	90.10 \pm 0.44	92.34 \pm 0.13	95.34 \pm 0.09
DSF-GPR-I	71.18 \pm 0.52	57.08 \pm 0.29	87.64 \pm 0.79	84.76 \pm 0.90	85.44 \pm 1.05	74.58 \pm 0.16	89.64 \pm 0.20	78.03 \pm 0.26	90.26 \pm 0.08	92.49 \pm 0.12	95.64 \pm 0.07
DSF-GPR-R	71.64 \pm 0.55	58.44 \pm 0.30	87.43 \pm 0.74	84.93 \pm 0.90	85.56 \pm 0.93	74.81 \pm 0.14	89.63 \pm 0.17	78.22 \pm 0.29	90.51 \pm 0.07	92.80 \pm 0.12	95.73 \pm 0.08
Our Improv.	2.63%	3.05%	4.92%	4.12%	3.9%	0.74%	0.61%	0.59%	0.41%	0.46%	0.39%
BernNet [20]	70.59 \pm 0.42	56.63 \pm 0.32	85.00 \pm 0.94	82.10 \pm 0.95	82.20 \pm 0.98	74.45 \pm 0.15	88.72 \pm 0.23	77.52 \pm 0.29	90.21 \pm 0.46	92.57 \pm 0.10	95.42 \pm 0.08
DSF-Bern-I	72.95 \pm 0.53	59.45 \pm 0.32	88.23 \pm 0.81	85.07 \pm 0.93	84.59 \pm 1.07	74.96 \pm 0.15	89.05 \pm 0.22	78.32 \pm 0.27	90.40 \pm 0.10	92.76 \pm 0.10	95.73 \pm 0.07
DSF-Bern-R	73.60 \pm 0.53	59.99 \pm 0.30	88.02 \pm 0.91	84.29 \pm 0.93	84.42 \pm 1.00	75.00 \pm 0.15	89.10 \pm 0.22	78.27 \pm 0.26	90.52 \pm 0.10	92.84 \pm 0.10	95.79 \pm 0.06
Our Improv.	3.01%	3.36%	3.23%	2.97%	2.39%	0.55%	0.38%	0.80%	0.31%	0.27%	0.37%
JacobiConv [42]	73.71 \pm 0.42	57.22 \pm 0.24	83.21 \pm 0.68	82.34 \pm 0.88	82.42 \pm 0.90	74.34 \pm 0.12	89.24 \pm 0.19	77.81 \pm 0.29	89.50 \pm 0.47	92.26 \pm 0.10	95.62 \pm 0.06
DSF-Jacobi-I	74.88 \pm 0.39	58.26 \pm 0.26	85.34 \pm 0.74	84.54 \pm 0.81	83.68 \pm 1.12	74.65 \pm 0.13	89.54 \pm 0.19	78.18 \pm 0.26	89.78 \pm 0.09	92.38 \pm 0.11	95.76 \pm 0.07
DSF-Jacobi-R	75.00 \pm 0.38	59.23 \pm 0.27	86.13 \pm 0.70	84.39 \pm 0.88	84.46 \pm 0.81	74.75 \pm 0.15	89.66 \pm 0.19	78.23 \pm 0.25	90.07 \pm 0.10	92.44 \pm 0.11	95.75 \pm 0.08
Our Improv.	1.29%	2.01%	2.92%	2.20%	2.04%	0.41%	0.42%	0.42%	0.41%	0.18%	0.14%

DSF can be readily **plug-and-play** in multiple spectral GNNs and **consistently improve** their performance.

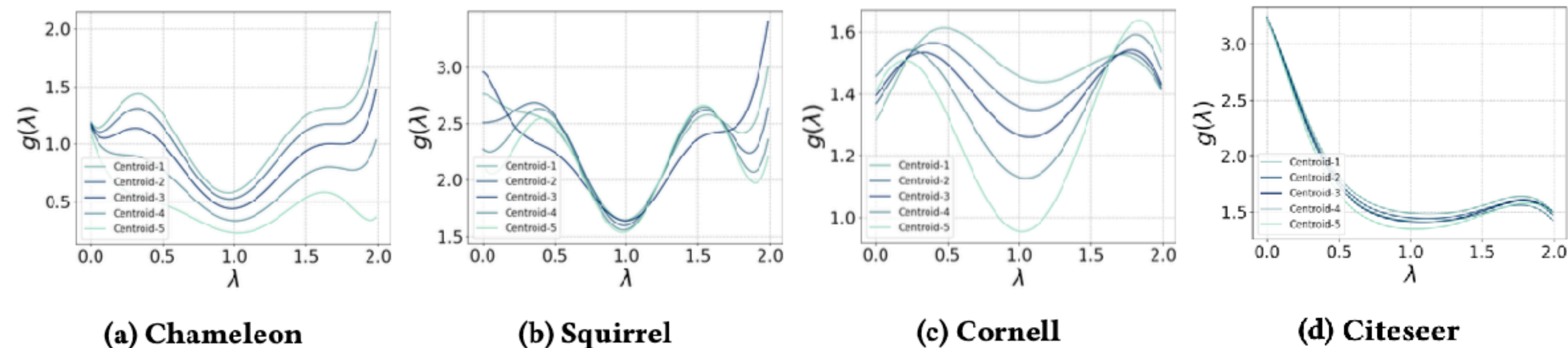
Proposed Solution #3: Diverse Spectral Filtering

Empirical Results — Interpretable Analysis #1

■ Traditional Spectral Filter (BernNet as an Example):

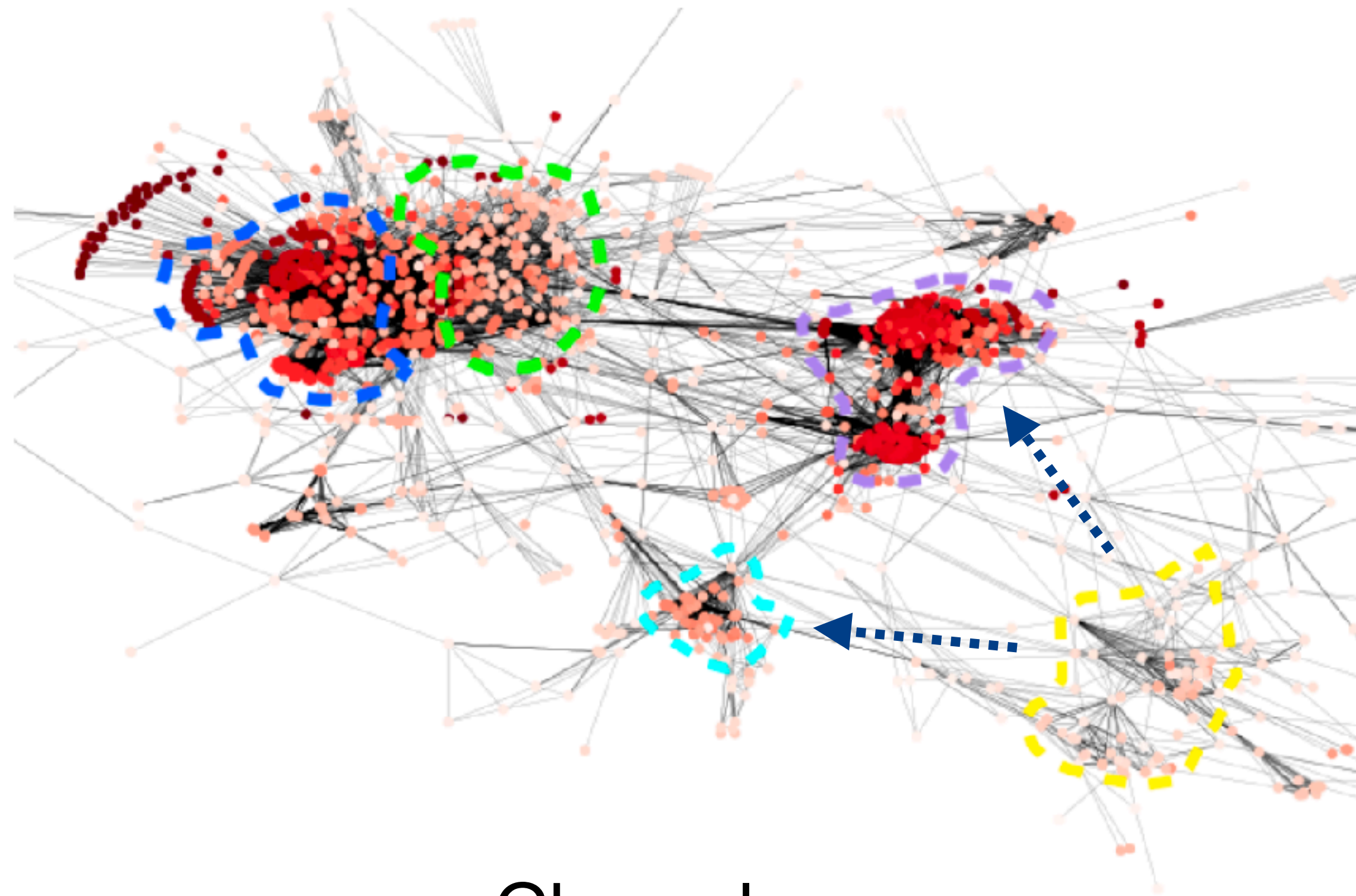


■ Our Spectral Filter (DSF-Bern):



Proposed Solution #3: Diverse Spectral Filtering

Empirical Results — Interpretable Analysis #2



Chameleon



Squirrel

DSF captures **regional disparity** with node-specific filter weights.

Solution #4: Spatially Adaptive Filtering Framework

Proposed Solution #4: Spatially Adaptive Filtering

Deep Delve into Spectral GNNs

■ $\mathbf{Z} = \mathbf{U} g_{\psi}(\mathbf{\Lambda}) \mathbf{U}^T \mathbf{X}$ \dashrightarrow theoretical spectral filtering

$$= g_{\psi}(\hat{\mathbf{L}}) \mathbf{X} = \sum_{k=0}^K \psi_k P_k(\hat{\mathbf{L}}) \mathbf{X} = \sum_{k=0}^K \omega_k \hat{\mathbf{A}}^k \mathbf{X}$$

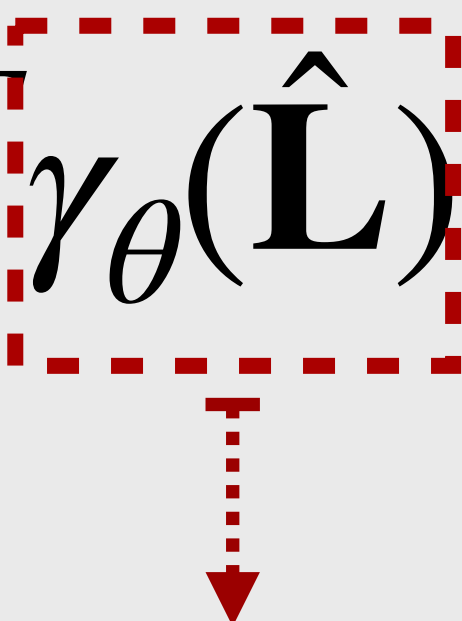
\downarrow

practical spatial aggregation

- What information is essentially encoded by spectral GNNs in the spatial domain?

Proposed Solution #4: Spatially Adaptive Filtering

Cross-Domain Interplay via Generalized Graph Optimization

$$\arg \min_{\mathbf{Z}} \mathcal{L} = \alpha \|\mathbf{X} - \mathbf{Z}\|_2^2 + (1 - \alpha) \text{tr}(\mathbf{Z}^T \gamma_{\theta}(\hat{\mathbf{L}}) \mathbf{Z})$$


- \mathbf{Z} refer to node representations

- α is a trade-off coefficient

- $\gamma_{\theta}(\hat{\mathbf{L}}) = \mathbf{U} \gamma_{\theta}(\mathbf{\Lambda}) \mathbf{U}^T$ determines propagation rate where $\gamma_{\theta}(\lambda) \geq 0$

Arbitrary Linking Patterns

Positive Semi-definite Constraint for Convexity Optimization

Proposed Solution #4: Spatially Adaptive Filtering

- Closed-form Solution $\frac{\partial \mathcal{L}}{\partial \mathbf{Z}} = 0$

$$\mathbf{Z}^* = (\mathbf{I} + \frac{1 - \alpha}{\alpha} \gamma_{\theta}(\hat{\mathbf{L}}))^{-1} \mathbf{X} = g_{\psi}(\hat{\mathbf{L}}) \mathbf{X} = \mathbf{U} g_{\psi}(\Lambda) \mathbf{U}^T \mathbf{X}$$

Spectral Filter as a function of $\gamma_{\theta}(\cdot)$: $g_{\psi}(\lambda) = (1 + \frac{1 - \alpha}{\alpha} \gamma_{\theta}(\lambda))^{-1}$

- Iterative Solution $\mathbf{Z}^{(k)} = \mathbf{Z}^{(k-1)} - \frac{1}{2} \frac{\partial \mathcal{L}}{\partial \mathbf{Z}} \big|_{\mathbf{Z}=\mathbf{Z}^{(k-1)}}$ Spatial Aggregation

$$\mathbf{Z}^{(k)} = \alpha \mathbf{X} + (1 - \alpha) \hat{\mathbf{A}}^{new} \mathbf{Z}^{(k-1)}$$

New Graph Structure: $\hat{\mathbf{A}}^{new} = \mathbf{I} - \gamma_{\theta}(\hat{\mathbf{L}}) = \mathbf{I} - \frac{\alpha}{1 - \alpha} (g_{\psi}(\hat{\mathbf{L}})^{-1} - \mathbf{I})$

Proposed Solution #4: Spatially Adaptive Filtering

Desirable Properties on the Newfound Graph $\hat{\mathbf{A}}^{new}$

■ Non-locality

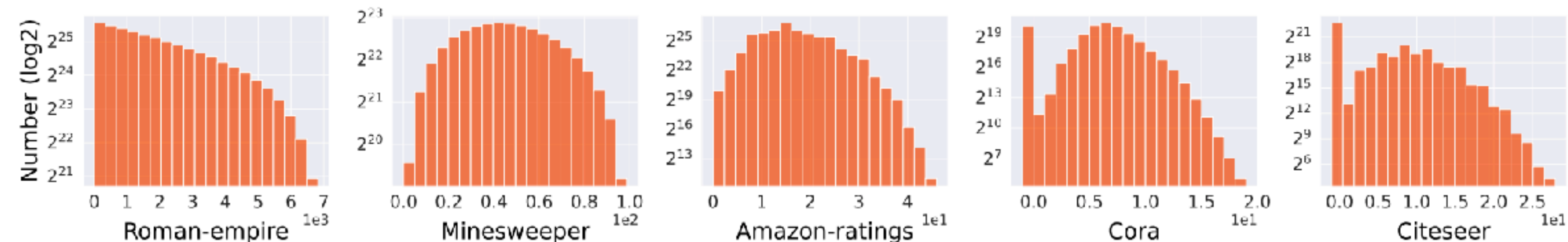


Figure 1: Distributions of connected nodes in the new graph based on their geodesic/shortest-path distance (as $\Delta_{i,j}$) in the original graph. Nodes, distant in the original graph ($\Delta_{i,j} > 1$ in x-axis), can be linked in the new graph (Number > 0 in y-axis).

■ Signed Edge Weights — Global Node Label Relationships

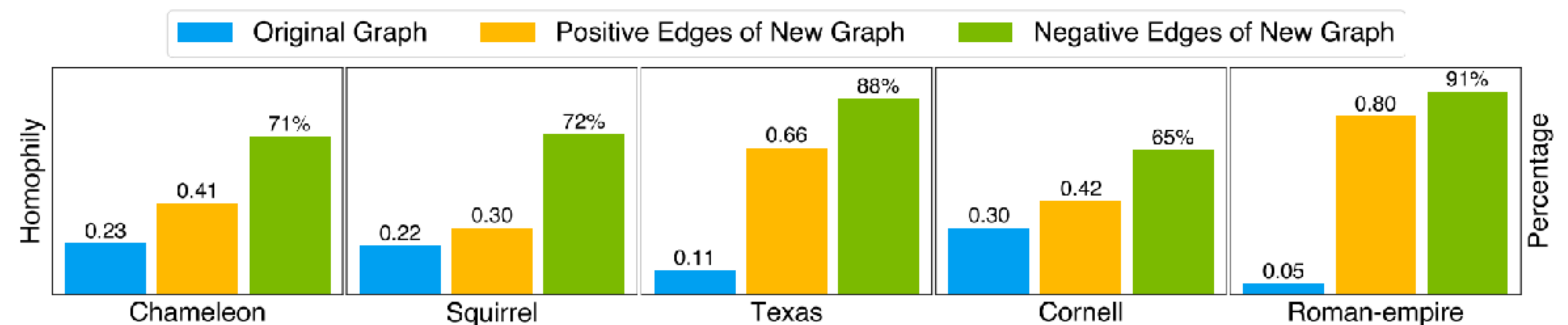


Figure 2: Left y-axis: Homophily comparison between original and new graphs, considering only positive edges (blue and yellow bars). Right y-axis: Percentage of edges connecting nodes from different classes, identified by negative edges (green bar).

Proposed Solution #4: Spatially Adaptive Filtering

Overall Framework

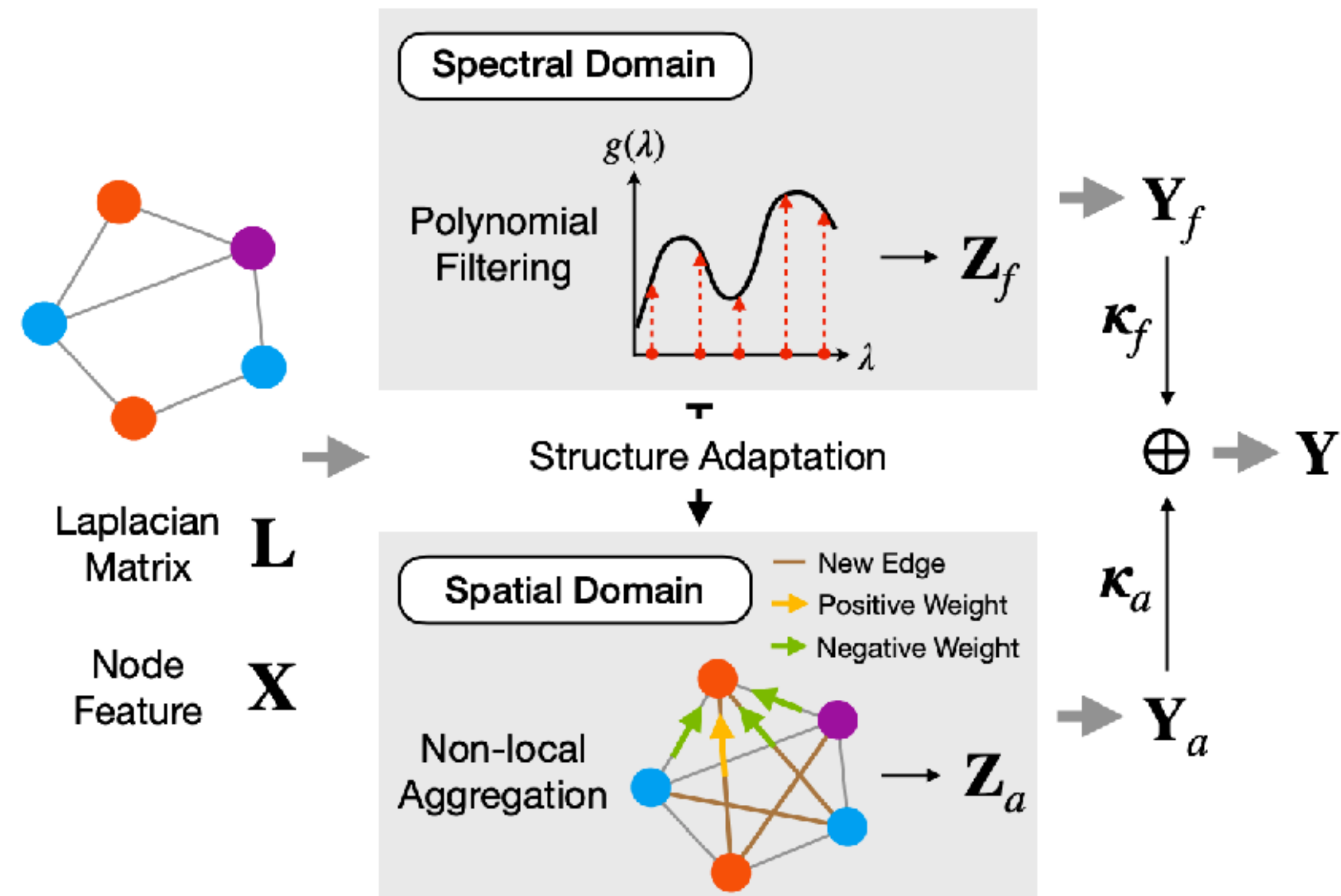


Figure 3: Illustration of the proposed SAF framework, where varying node colors represent different node labels.

SAF leverages the adapted new graph by spectral filtering for **non-local aggregation with signed edge weights**.

Address:

- Long-range Dependency
- Heterophilic Graph Links

Proposed Solution #4: Spatially Adaptive Filtering

Empirical Results

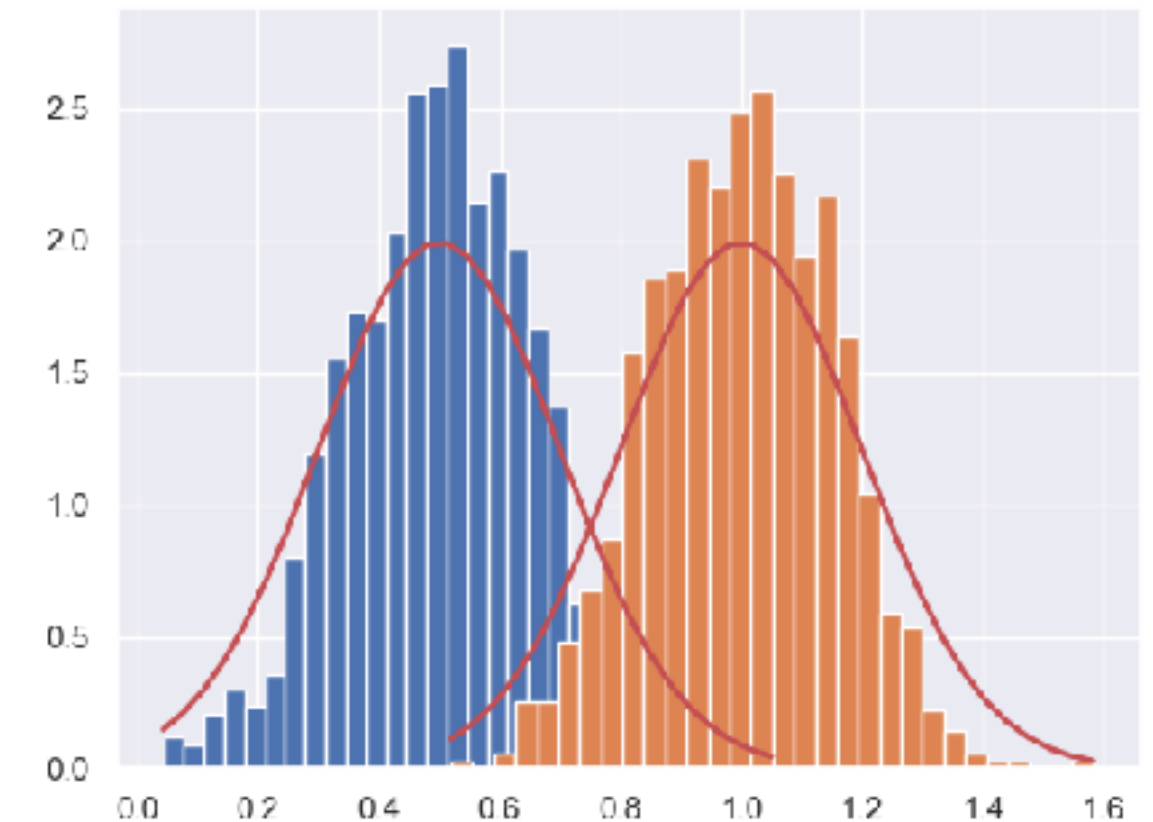
Table 1: Semi-supervised node classification accuracy (%) \pm 95% confidence interval.

Method	Cham.	Squi.	Texas	Corn.	Actor	Cora	Cite.	Pubm.
BernNet	27.32 \pm 4.04	22.37 \pm 0.98	43.01 \pm 7.45	39.42 \pm 9.59	29.87 \pm 0.78	82.17 \pm 0.86	69.44 \pm 0.97	79.48 \pm 1.47
SAF	41.82 \pm 1.74	31.77 \pm 0.69	58.04 \pm 3.76	52.49 \pm 8.56	33.50 \pm 0.55	83.57 \pm 0.66	71.07 \pm 1.08	79.51 \pm 1.12
SAF- ϵ	<u>41.88\pm2.04</u>	<u>32.05\pm0.40</u>	58.38\pm3.47	53.41\pm5.55	33.84\pm0.58	83.79\pm0.71	71.30\pm0.93	80.16\pm1.25
Improv.	14.56%	9.68%	15.37%	13.99%	3.97%	1.62%	1.86%	0.68%

Table 2: Full-supervised node classification accuracy (%) \pm 95% confidence interval.

Method	Cham.	Squi.	Texas	Corn.	Actor	Cora	Cite.	Pubm.
BernNet	68.53 \pm 1.68	51.39 \pm 0.92	92.62 \pm 1.37	92.13 \pm 1.64	41.71 \pm 1.12	88.51 \pm 0.92	80.08 \pm 0.75	88.51 \pm 0.39
SAF	75.30\pm0.96	63.63 \pm 0.81	94.10 \pm 1.48	92.95 \pm 1.97	42.93 \pm 0.79	89.80 \pm 0.69	80.61 \pm 0.81	91.49 \pm 0.29
SAF- ϵ	74.84 \pm 0.99	<u>64.00\pm0.83</u>	94.75\pm1.64	93.28\pm1.80	42.98\pm0.61	89.87\pm0.51	81.45\pm0.59	91.52\pm0.30
Improv.	6.77%	12.61%	2.13%	1.15%	1.27%	1.36%	1.37%	3.01%

Transfer Learning Under Distribution Shift



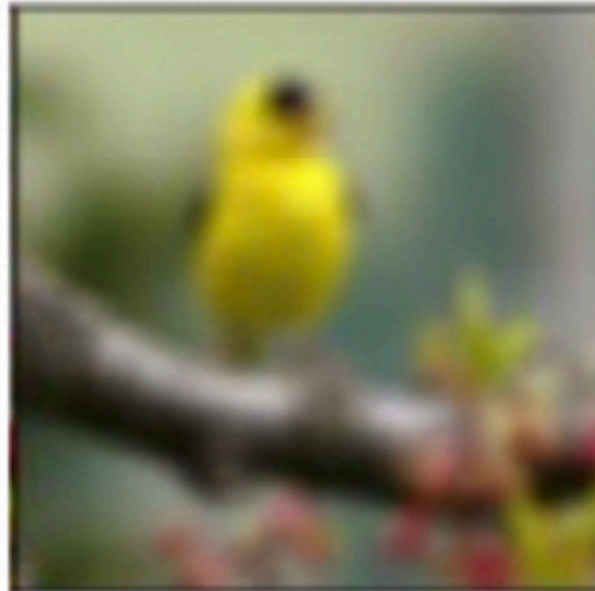
Research Background — Distribution / Covariate Shift

Corruptions

Noise



Blur

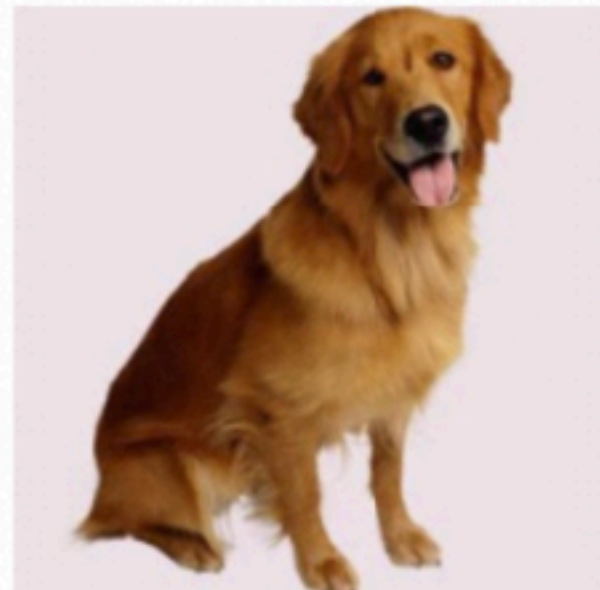


Weather



Image styles

Photo



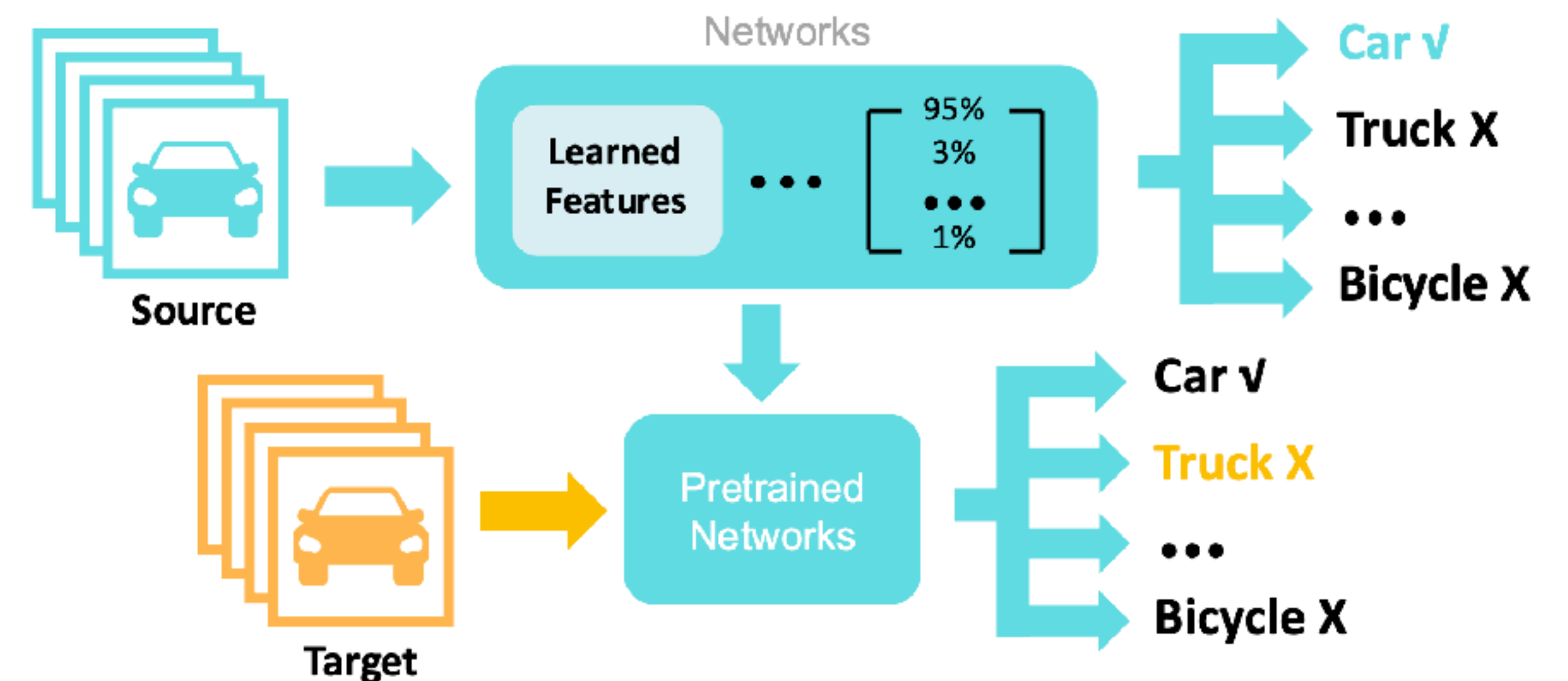
Art-painting



Cartoon



$$P_s(x) \neq P_t(x), P_s(y|x) = P_t(y|x)$$



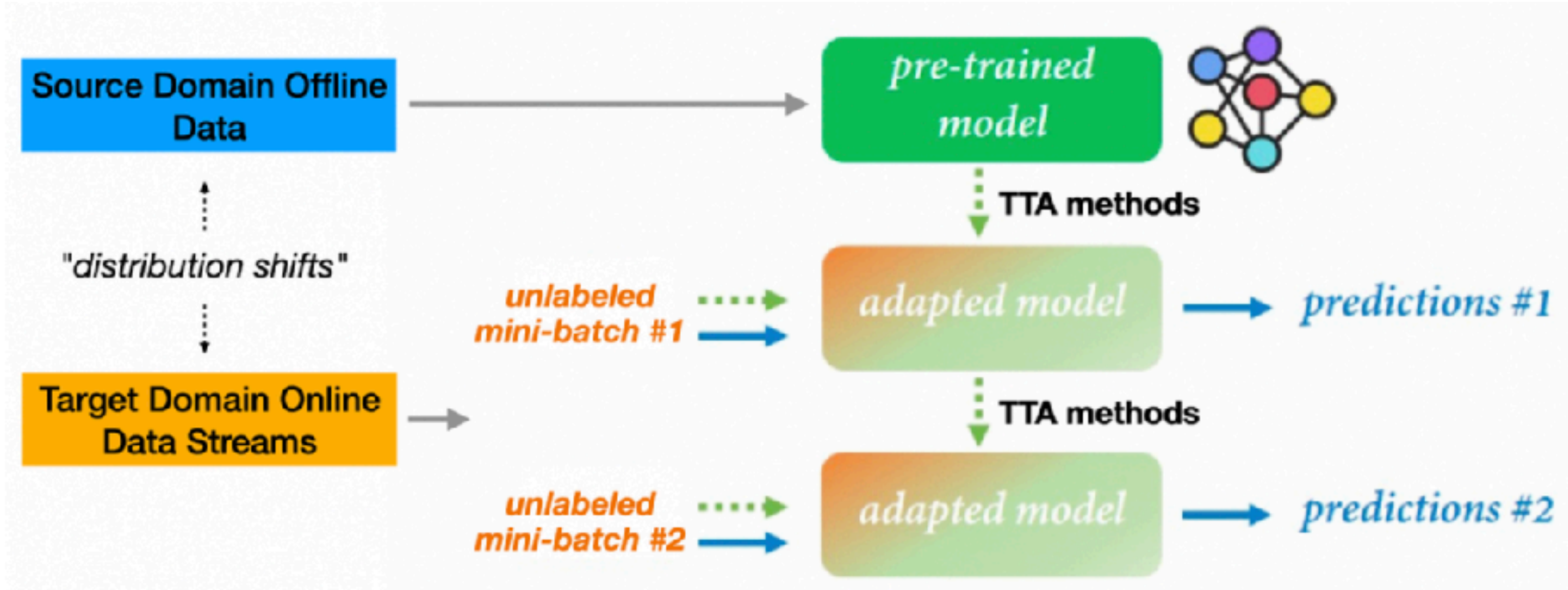
Distribution shift may cause model performance degradation.

Research Background — Test-time Adaptation (TTA)

TABLE I: Comparison of different transfer learning settings.

Topic	No Source Data*	No Target Labels*	Online Adaptation	Model Agnostic
Supervised Domain Adaptation	×	×	×	—
Unsupervised Domain Adaptation	×	✓	×	—
Source-free Domain Adaptation	✓	✓	×	—
Test-time Training	✓	✓	✓	×
Test-time Adaptation	✓	✓	✓	✓

Note: * denotes particular constraints during the adaptation process.

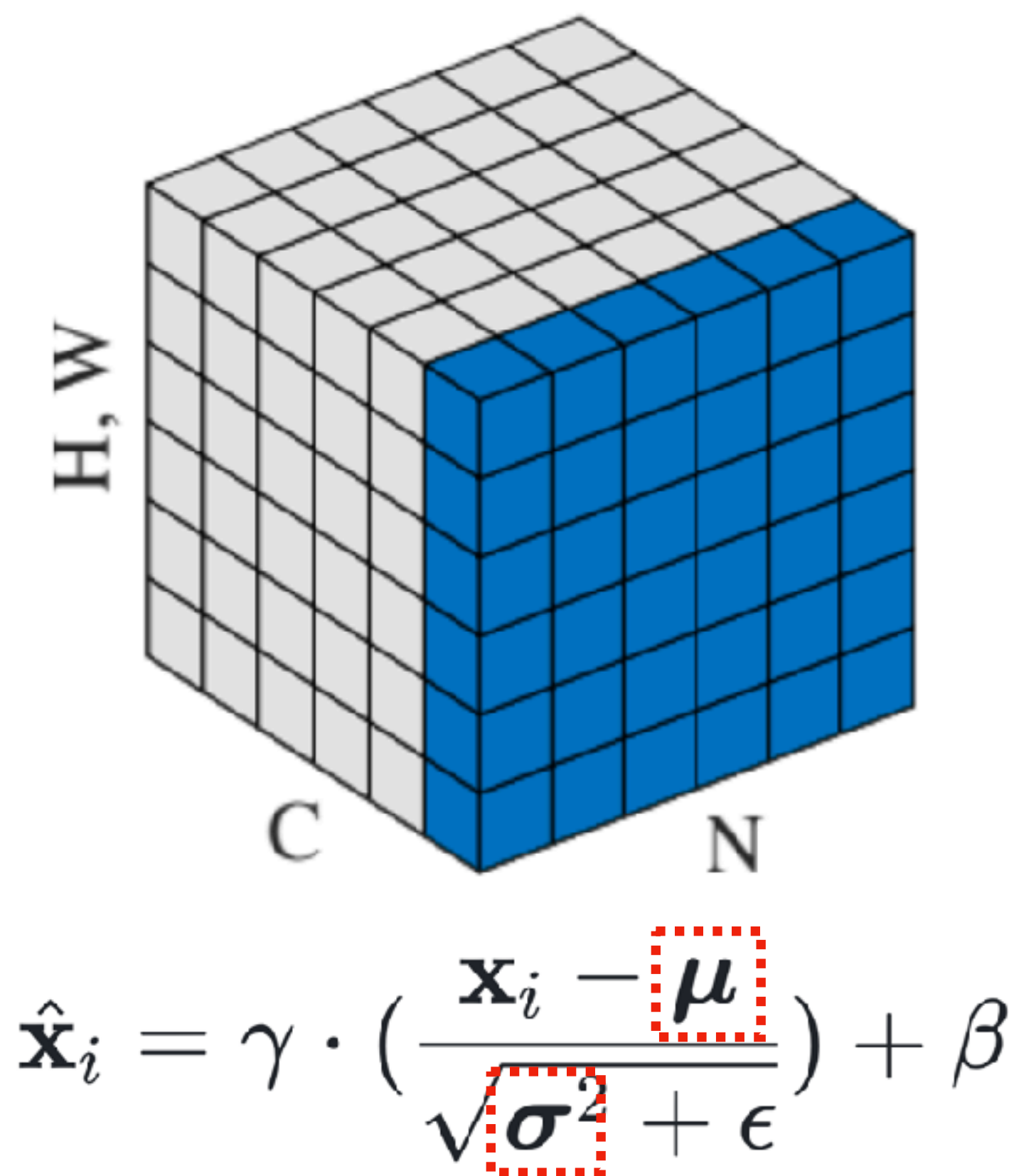


Given any pre-trained model, TTA aims to adapt it **at test-time towards the unseen and unlabeled data streams.**

Solution #1: Unraveling BN in TTA under Small-batch Data Streams

Proposed Solution #1: Test-time Exponential Moving Average

Batch Normalization in Domain Adaptation

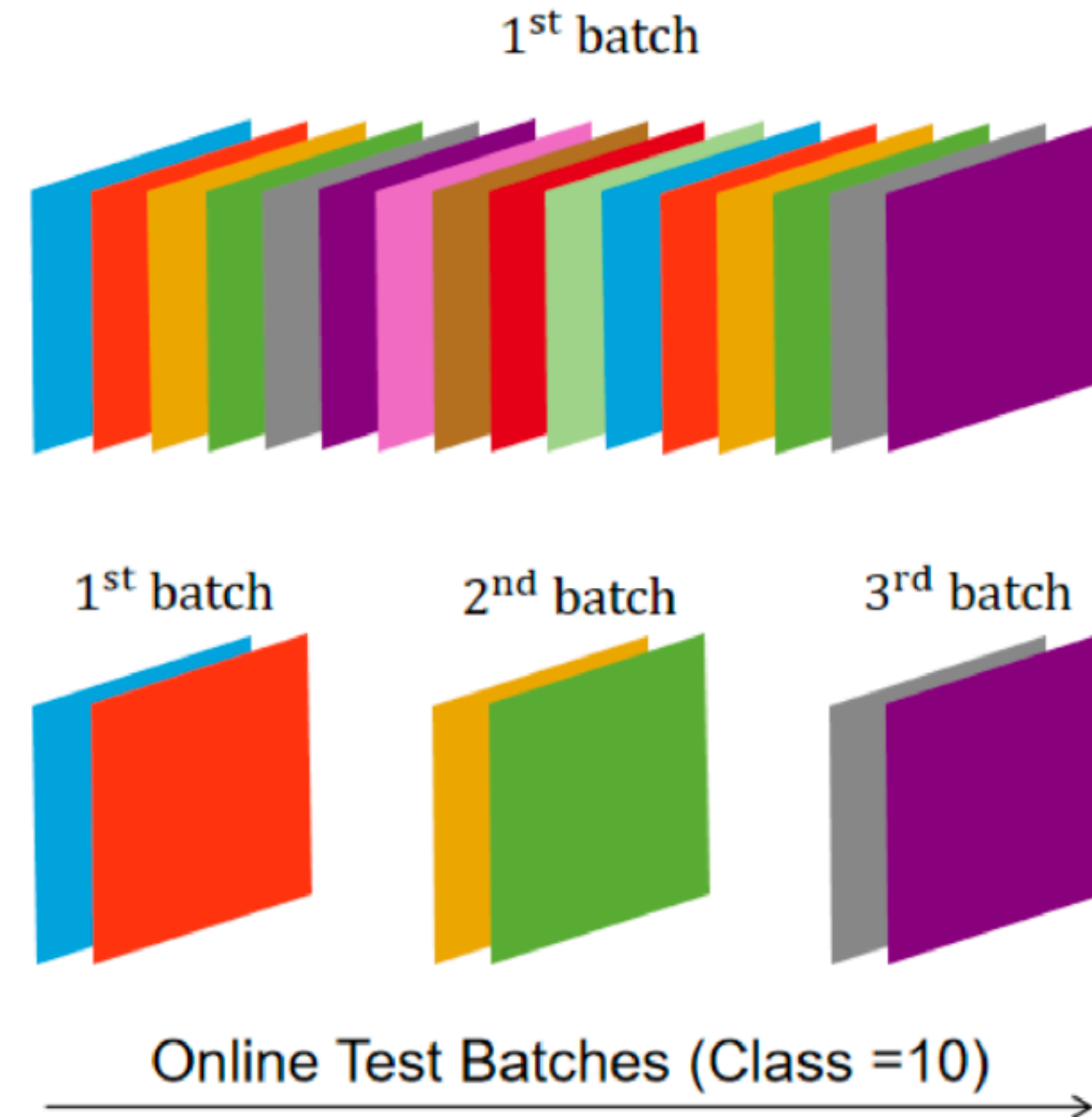
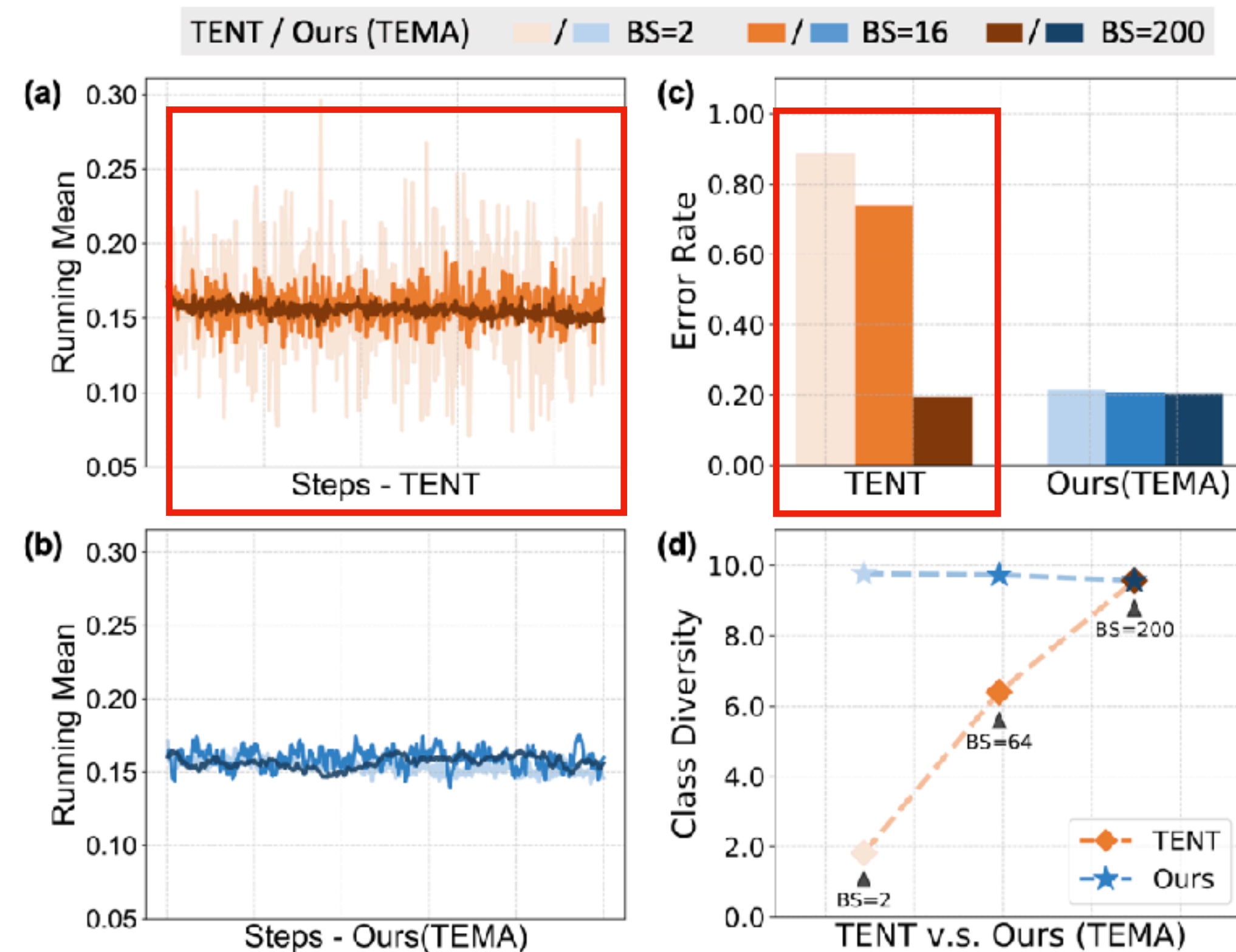


Parameters	How are they learned during training?	Testing
μ, σ	exponential moving average with momentum m : $\mu \leftarrow m\mu + (1 - m)\mu_b$ $\sigma^2 \leftarrow m\sigma^2 + (1 - m)\sigma_b^2$	Fixed
	$\mu_b = \frac{1}{N} \sum_{i=1}^N \mathbf{x}_i, \sigma_b^2 = \frac{1}{N} \sum_{i=1}^N (\mathbf{x}_i - \mu_b)^2$	
γ, β	updated by gradients	Fixed

Normalization statistics are associated with **domain characteristics**.

Proposed Solution #1: Test-time Exponential Moving Average

Mini-batch Model Degradation



Traditional TTA methods tend to degrade on **small-batch data streams**.

Proposed Solution #1: Test-time Exponential Moving Average

Mini-batch Model Degradation

Proposition 1. *Given an infinite sample space where each sample is independently and identically distributed (i.i.d) with an equal probability of selection for each category. Let M denote the number of distinct categories contained within a given batch, and K be the category number in total. For a batch of size N , the expected number of unique categories (also referred to as category diversity) is given by:*

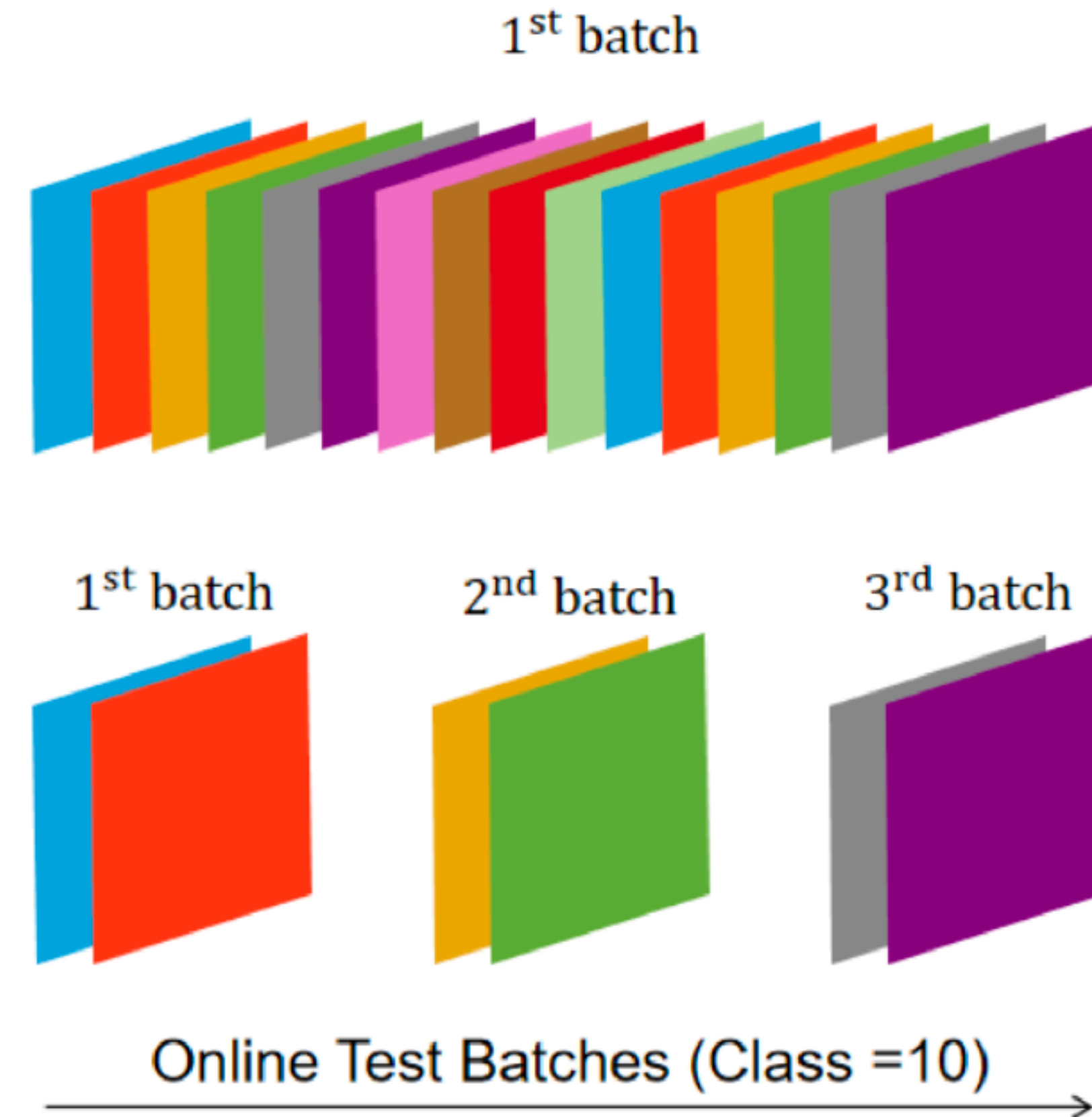
$$E(M|N) = \sum_{k=1}^K \left[k \cdot \frac{\mathbf{C}_{N-1}^{k-1} \mathbf{C}_K^k}{\mathbf{C}_{N+K-1}^{K-1}} \right], \quad (2)$$

where \mathbf{C} denotes the combination symbol in Combinatorics.

Take CIFAR-10 dataset as an example:

$$N_t = 2, E(M_t|N_t) = 1.82 \ll E(M_s|N_s)$$

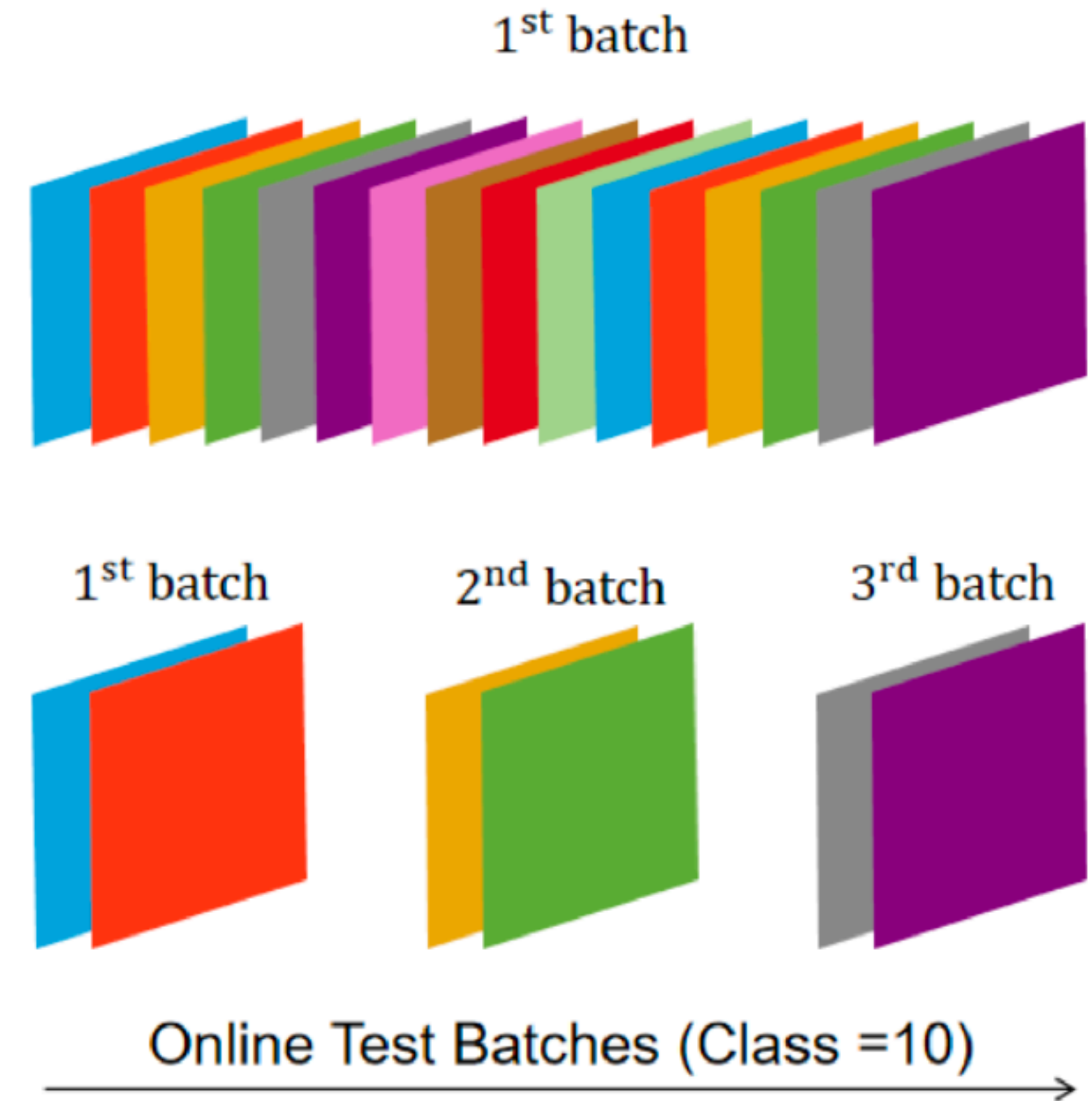
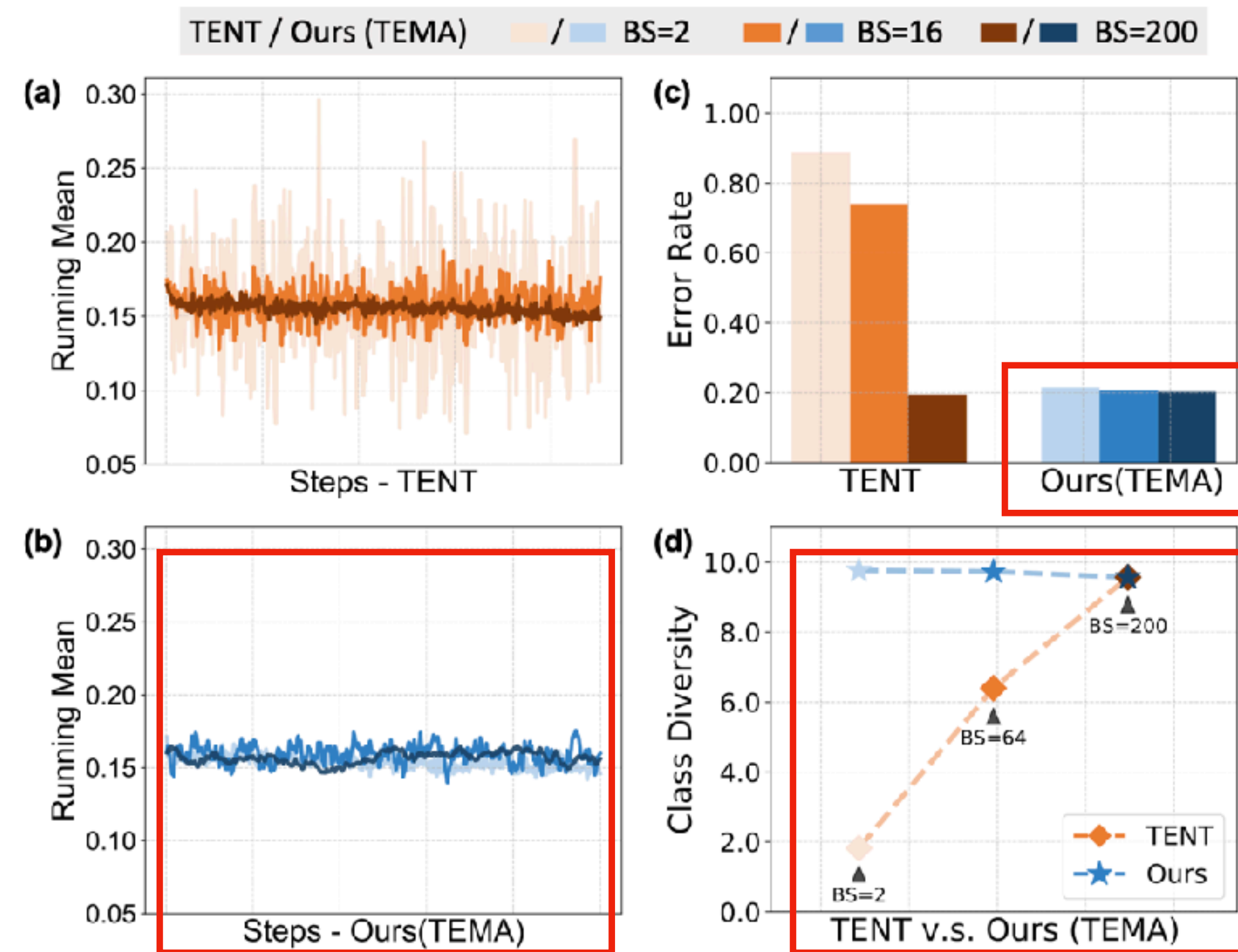
$$N_t = 200, E(M_t|N_t) = 9.57 \approx E(M_s|N_s)$$



Class diversity discrepancy **obscures true distribution shifts.**

Proposed Solution #1: Test-time Exponential Moving Average

Mini-batch Model Degradation



TTA methods degrade on small batches due to **reduced class diversity**.

Proposed Solution #1: Test-time Exponential Moving Average

Exponential Moving Average at Test-time

$$\mu_t^{ema} = m \cdot \mu_t^{batch} + (1 - m) \cdot \mu_{t-1}^{ema}$$

$$\sigma_t^{ema2} = m \cdot \sigma_t^{batch2} + (1 - m) \cdot \sigma_{t-1}^{ema2}$$

- Larger m emphasizes local batches
- Smaller m prioritize global statistics

We apply grid search to tune m , guide by a tailored objective that balance local and global statistics.

Proposition 2. Given the iterative rules of TEMA defined in Eq. 3 and 4, it yields the i -th term as a cumulative sum of the past batch statistics weighted by $w_0 = (1 - m)^i$ for initial batch and $w_t = (1 - m)^{(i-t)}m$ for $t = 1, 2, \dots, i$. Let ϵ be a threshold defining the effective sample batch, such that only batches with a relative weight $w_t/w_i > \epsilon$ are included. Then, the expanded sample scope for statistical estimation in TEMA can be formally expressed as $\hat{N}_t = \lfloor \log_{1-m} \epsilon \rfloor \cdot N_t$.

$$\arg \min_m \left[\left| \frac{E(M_s | N_s)}{E(M_t | N_t)} - 1 \right| + \lambda \cdot \frac{N_t}{N_s} \right]$$

Aligning Class Diversity & Sample Scope

Proposed Solution #1: Test-time Exponential Moving Average

Empirical Results — Error Reduction

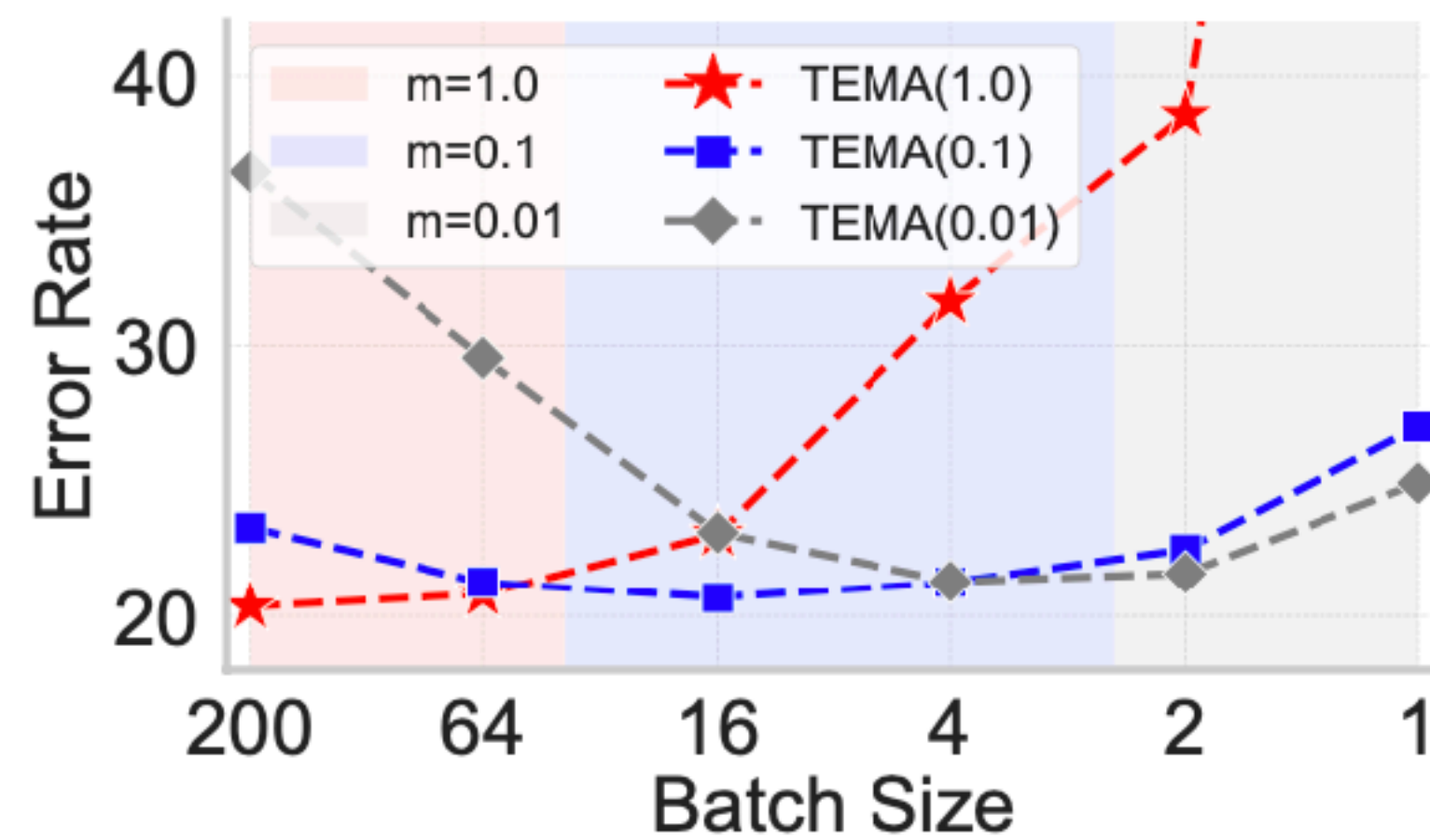
Continual		CIFAR-10-C							CIFAR-100-C						
		200	64	16	4	2	1	Avg.	200	64	16	4	2	1	Avg.
TR	Source	43.50	43.50	43.50	43.50	43.50	43.50	43.50	46.45	46.45	46.45	46.45	46.45	46.45	46.45
	TENT	19.55	26.32	74.07	85.07	88.69	90.00	63.95	61.12	86.49	96.07	98.40	98.79	99.00	89.98
	CoTTA	16.24	17.65	34.36	78.88	87.79	90.00	54.15	32.68	34.30	47.52	92.62	97.84	98.96	67.32
	SAR	20.40	20.74	22.89	31.35	40.32	89.83	37.59	31.90	35.89	54.84	66.08	73.24	98.91	60.14
	AdaCont.	18.50	17.41	19.69	35.01	63.81	31.55	31.00	33.61	35.40	55.04	89.45	96.16	62.67	62.06
	ETA	17.64	20.01	30.60	56.78	83.24	89.83	49.68	32.31	35.17	44.72	88.22	98.96	98.91	66.38
TF	TBN	<u>20.35</u>	20.82	23.06	31.62	38.57	89.83	37.38	35.50	36.29	39.67	52.73	73.24	98.91	56.06
	α -BN	30.60	30.67	30.89	31.89	32.91	<u>34.47</u>	31.91	37.02	38.27	<u>35.92</u>	37.17	<u>37.25</u>	<u>41.18</u>	37.80
	AdaptBN	20.36	<u>20.71</u>	<u>21.98</u>	<u>26.79</u>	<u>32.19</u>	37.52	<u>26.59</u>	<u>35.40</u>	35.78	<u>35.92</u>	<u>37.14</u>	39.23	41.85	<u>37.55</u>
	LAME	64.52	57.66	47.70	44.38	43.75	90.00	58.00	98.49	73.83	47.59	46.64	46.50	99.00	68.68
	Ours	20.20	20.57	20.74	21.45	20.91	21.05	20.82	34.63	<u>36.11</u>	35.31	36.02	36.32	39.30	36.28

Table 1: Continual adaptation on corruption benchmark CIFAR-10-C/CIFAR-100-C. Error rate (\downarrow) averaged over 15 corruption with severity level 5 for each test batch size (200/64/16/4/2/1).

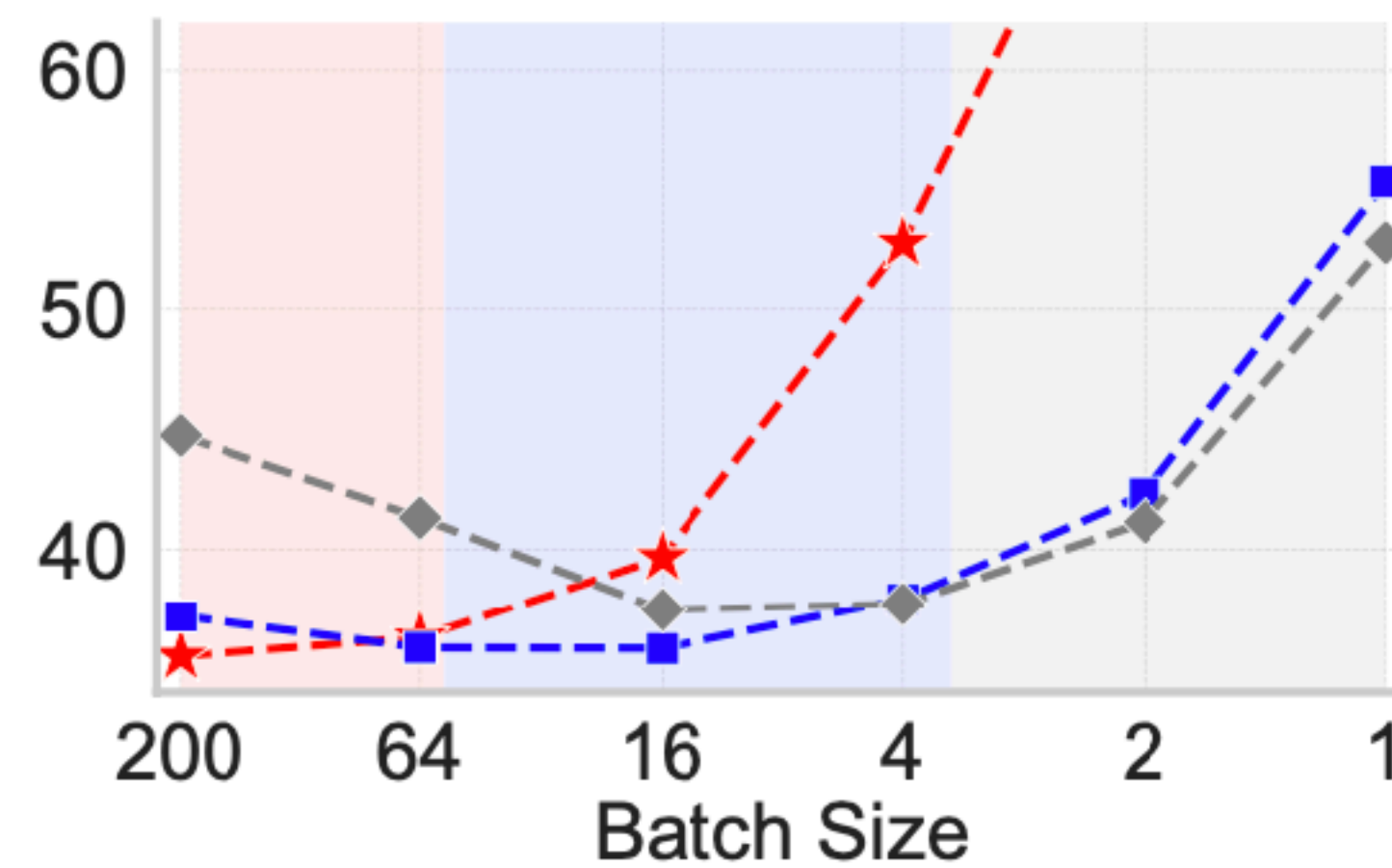
Zixian Su, Jingwei Guo, et. al. Unraveling Batch Normalization for Realistic Test-Time Adaptation, AAAI 2024.

Proposed Solution #1: Test-time Exponential Moving Average

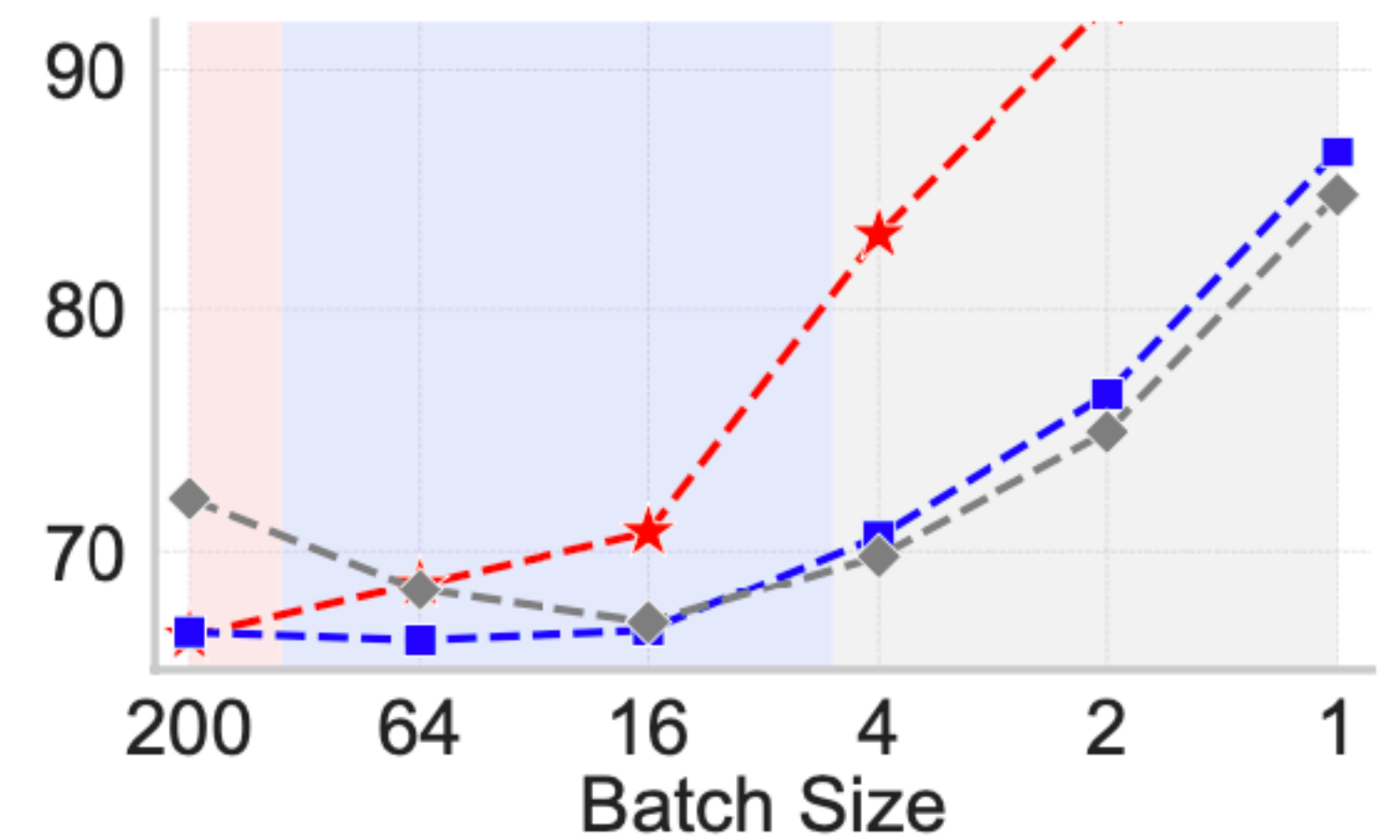
Empirical Results — Momentum Analysis



(a) CIFAR-10-C



(b) CIFAR-100-C



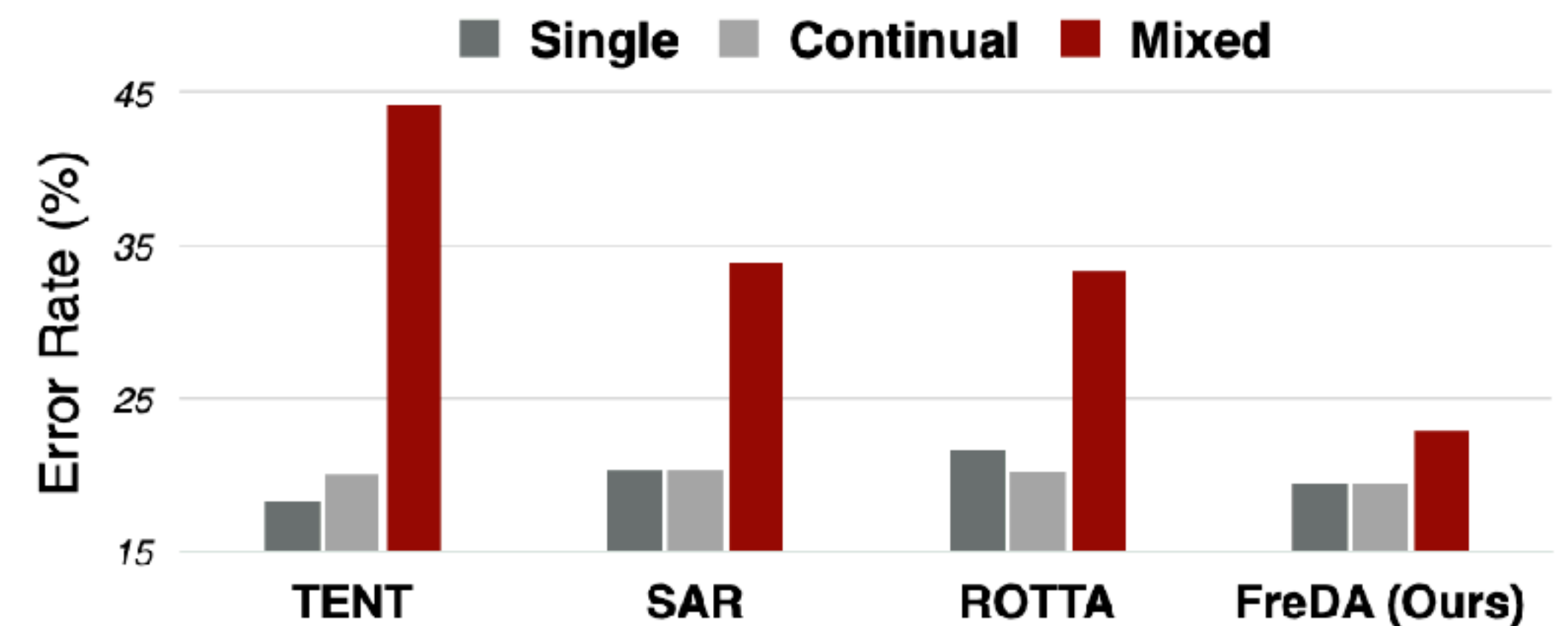
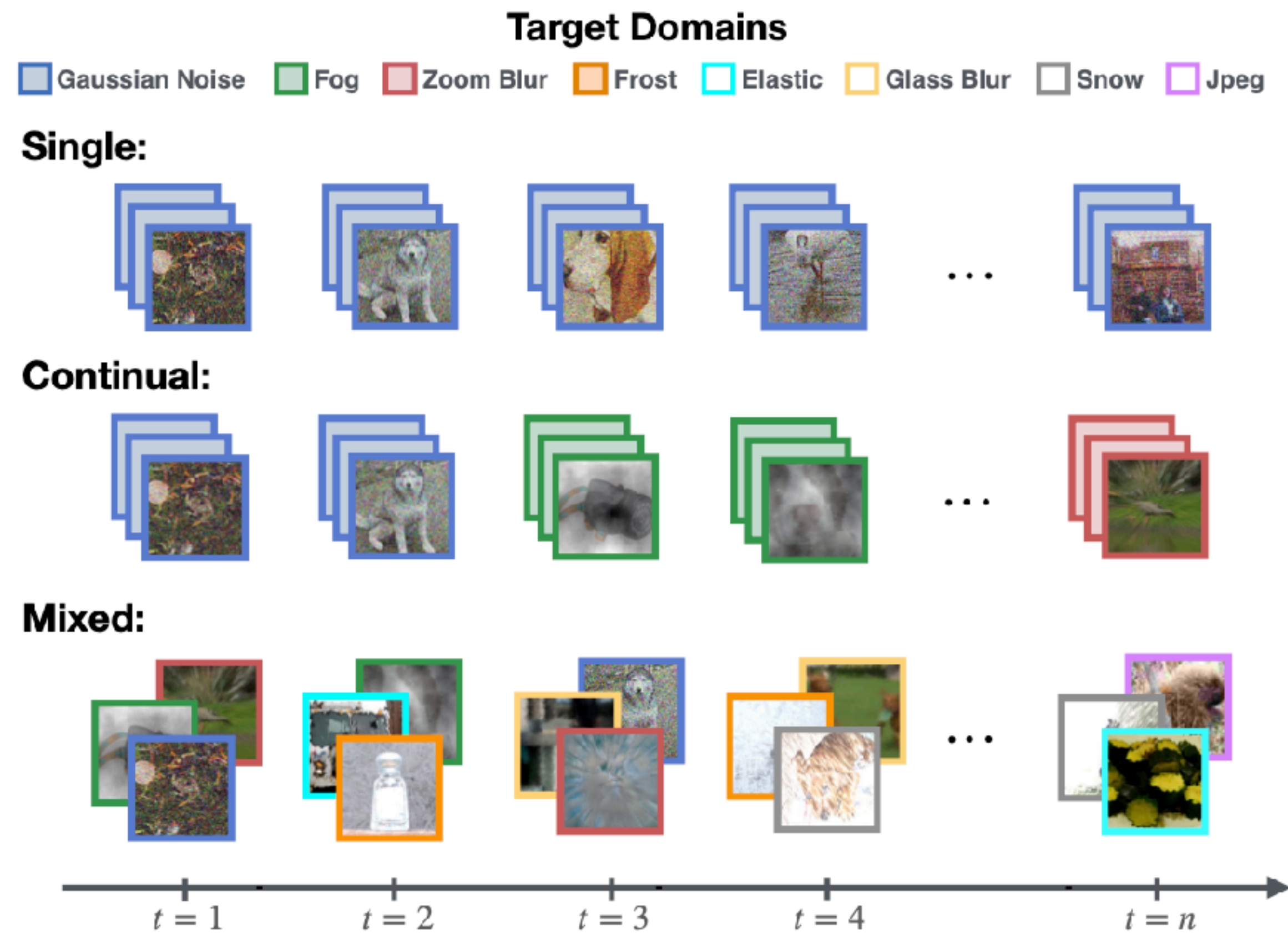
(c) IMAGENET-C

Our designed objective enables effective identification of the optimal momentum value that balances **both local and global batch statistical information**.

Solution #2: Un-mixing TTA under Heterogeneous Data Streams

Proposed Solution #2: Frequency-based Decentralized Adapt.

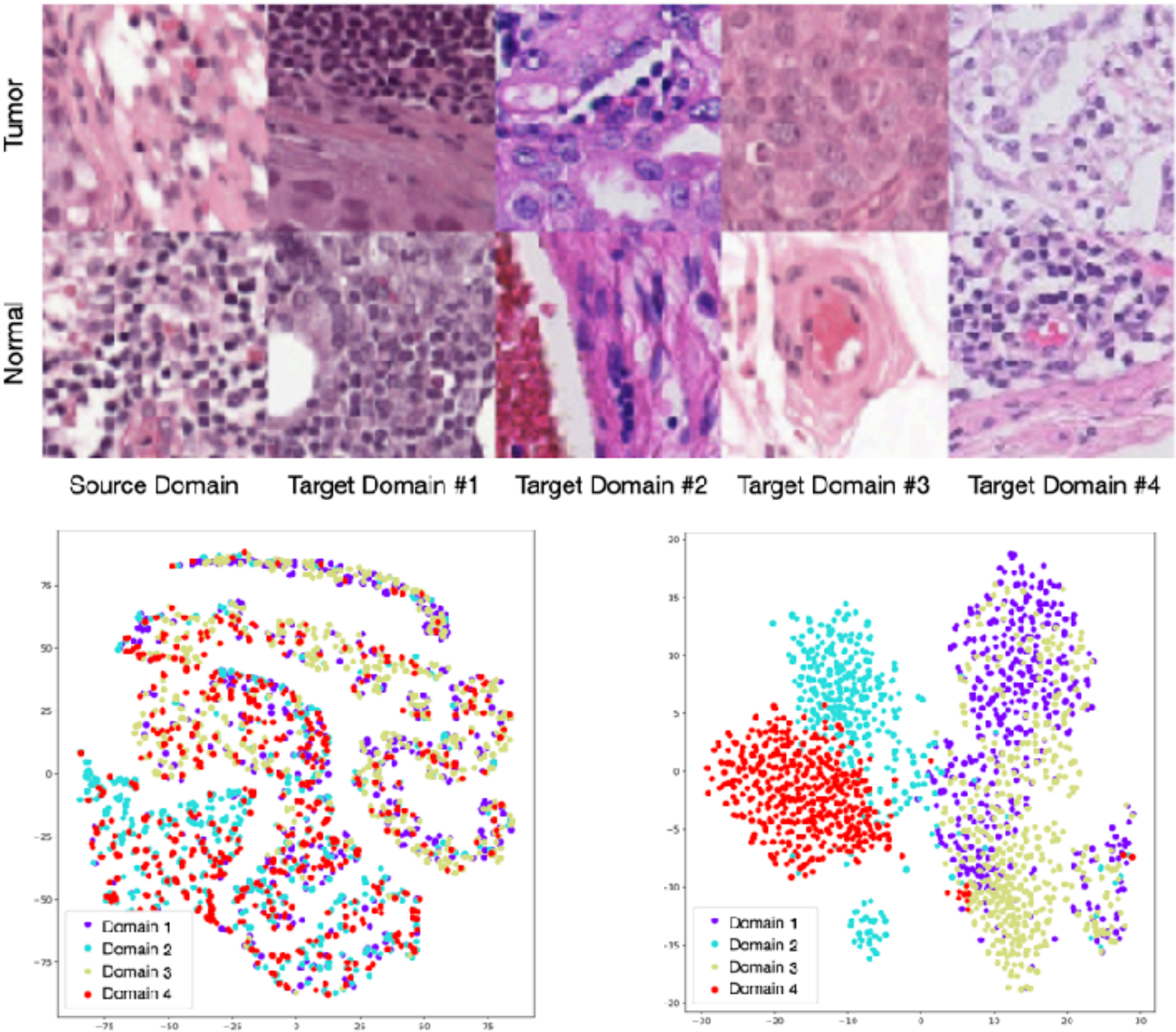
Heterogeneous / Mixed-domain Model Degradation



Conventional TTA methods assume homogenous data streams and employ whole-batch adaptation strategies.

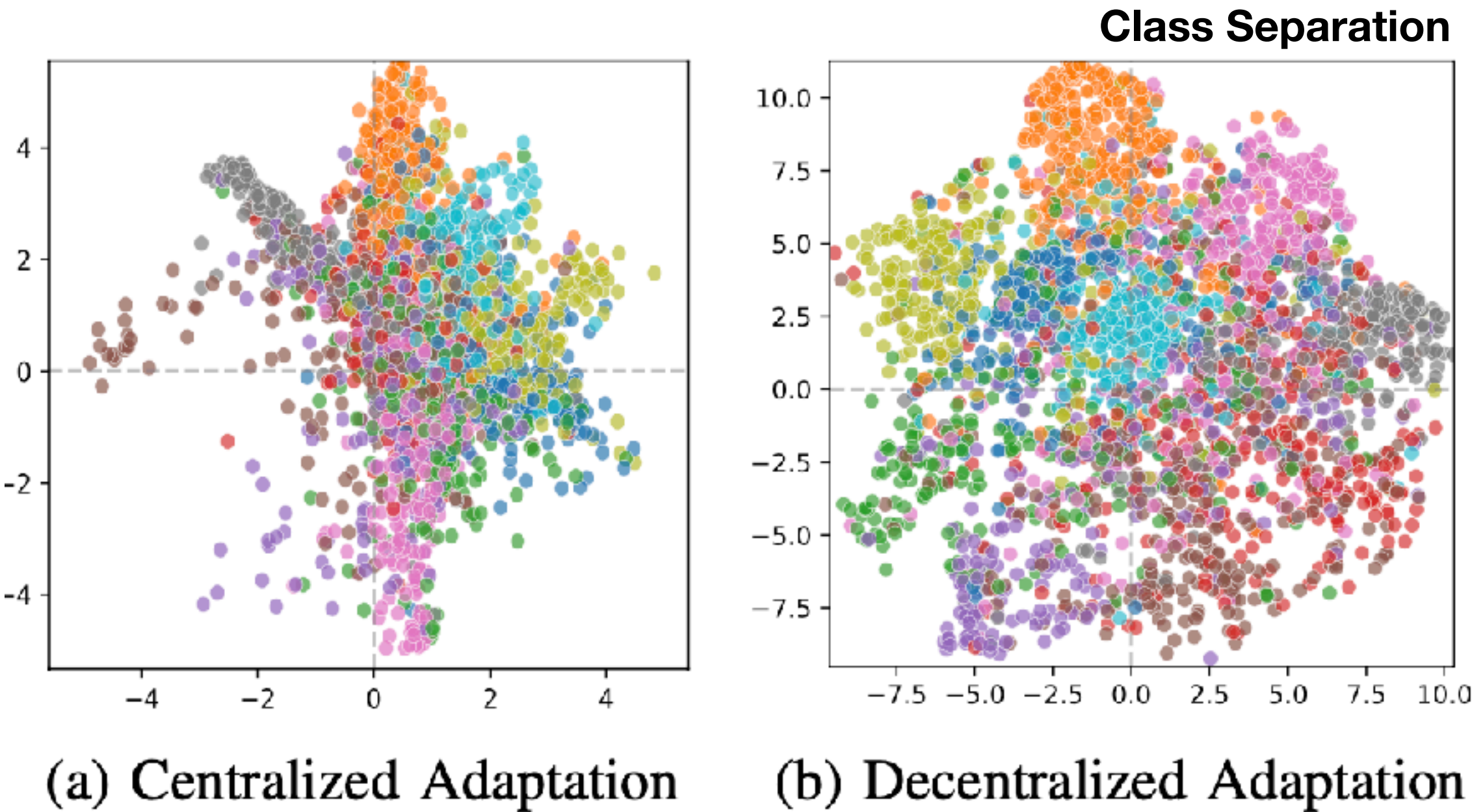
Proposed Solution #2: Frequency-based Decentralized Adapt.

Frequency-based Domain Separation



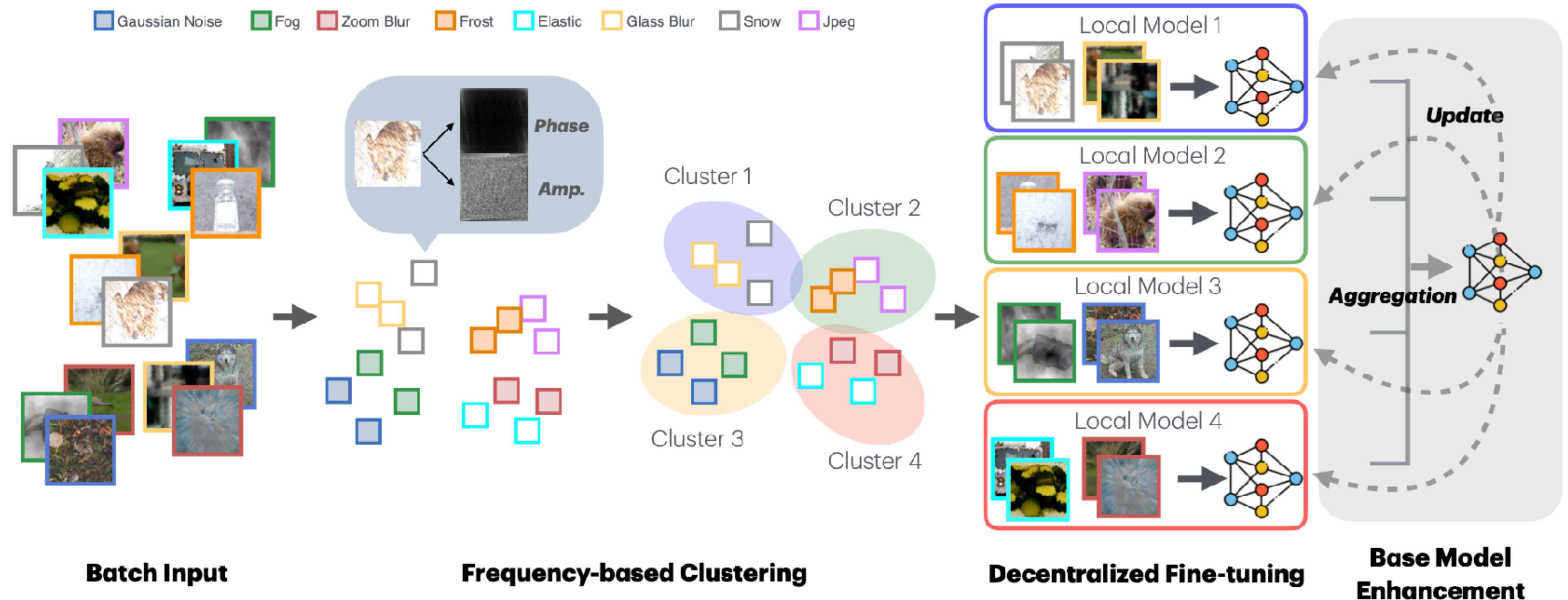
High-frequency information enable target subdomains' separation, contrasting with pre-trained model features.

Methods	Error rate (%)		
	C10	C100	IN
TBN (Centralized)	33.8	45.8	82.5
TBN (Decentralized)	28.5	43.2	77.6



Proposed Solution #2: Frequency-based Decentralized Adapt.

Our Overall Framework



Proposed Solution #2: Frequency-based Decentralized Adapt.

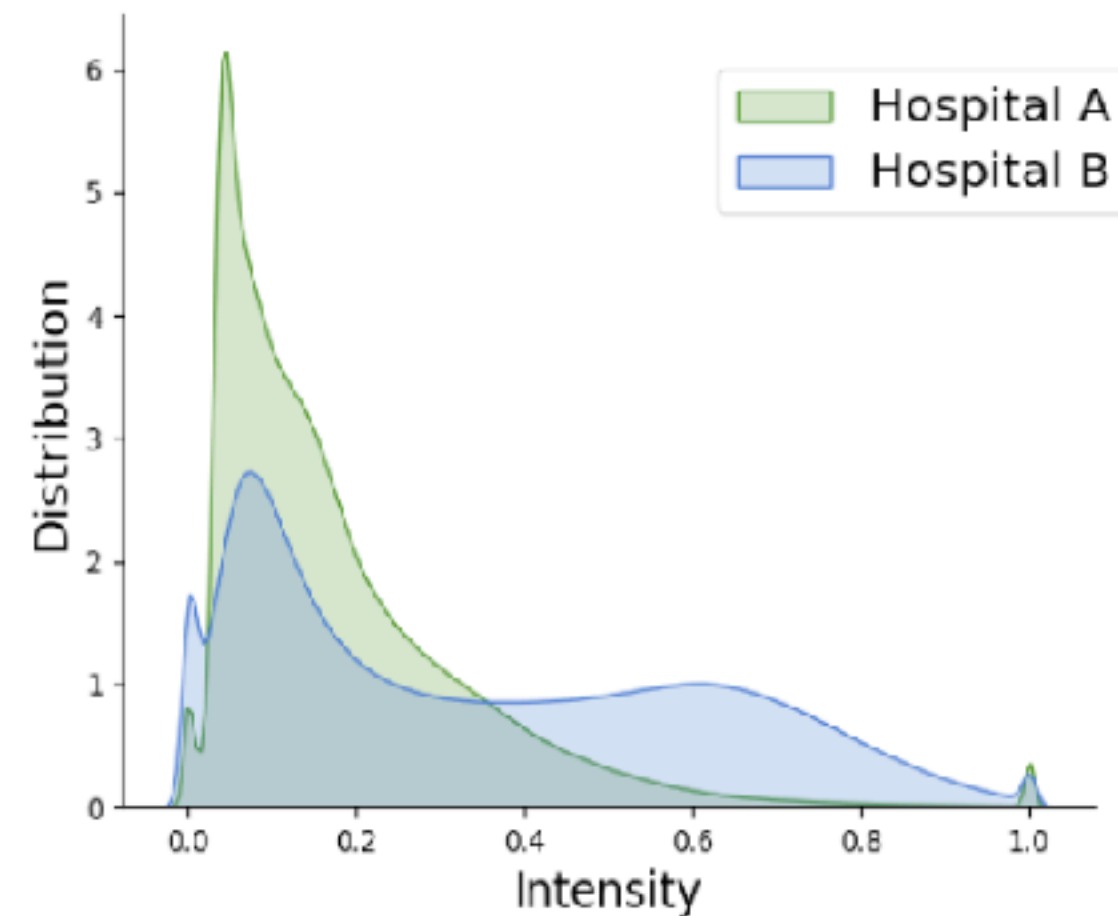
Empirical Results

Baseline & Methods	Gauss.	Shot	Impul.	Defoc.	Glass	Motion	Zoom	Snow	Frost	Fog	Brig.	Contr.	Elast.	Pixel	JPEG	Avg.
CIFAR-10-C (WRN-28)	72.3	65.7	72.9	46.9	54.3	34.8	42.0	25.1	41.3	26.0	<u>9.3</u>	46.7	26.6	58.4	30.3	43.5
TBN	45.5	42.8	59.7	34.2	44.3	29.8	32.0	19.8	21.1	21.5	<u>9.3</u>	27.9	33.1	55.5	30.8	33.8
TENT (ICLR 21')	73.5	70.1	81.4	31.6	60.3	29.6	28.5	30.8	35.3	25.7	13.6	44.2	32.6	70.2	34.9	44.1
ETA (ICML 22')	36.2	33.3	52.3	22.9	38.9	22.4	20.5	19.5	19.7	20.4	11.3	35.4	26.6	38.8	25.1	28.2
AdaContrast (CVPR 22')	36.7	34.3	48.8	18.2	39.1	21.1	<u>17.7</u>	<u>18.6</u>	<u>18.3</u>	<u>16.8</u>	9.0	17.4	27.7	44.8	24.9	26.2
CoTTA (CVPR 22')	38.7	36.0	56.1	36.0	36.8	32.3	<u>31.0</u>	<u>19.9</u>	<u>17.6</u>	<u>27.2</u>	11.7	<u>52.6</u>	30.5	<u>35.8</u>	25.7	<u>32.5</u>
SAR (ICLR 23')	45.5	42.7	59.6	34.1	44.3	29.7	31.9	19.8	21.1	21.5	<u>9.3</u>	27.8	33.0	55.4	30.8	33.8
RoTTA (CVPR 23')	60.0	55.5	70.0	23.8	44.1	<u>20.7</u>	21.3	20.2	22.7	16.0	9.4	22.7	27.0	58.6	29.2	33.4
RDumb (NeurIPS 23')	<u>34.9</u>	<u>32.3</u>	49.4	23.3	<u>38.2</u>	23.3	20.7	19.9	19.3	20.7	11.2	29.3	<u>26.7</u>	41.5	25.2	27.7
DeYO (ICLR 24')	45.8	42.3	65.7	21.3	41.8	25.1	19.5	21.1	19.6	19.2	12.3	21.8	28.5	39.3	28.0	30.1
UnMix-TNS (ICLR 24')	50.0	44.4	<u>44.3</u>	34.4	48.2	32.7	30.0	35.5	35.9	47.5	28.1	38.7	43.9	40.0	43.3	39.8
FreDA (ours)	23.1	22.2	32.2	<u>18.7</u>	41.6	18.8	16.8	17.9	19.9	16.9	9.8	13.2	29.1	35.4	28.6	22.9
CIFAR-100-C (ResNeXt-29)	73.0	68.0	39.4	29.3	54.1	30.8	<u>28.8</u>	39.5	45.8	50.3	29.5	55.1	37.2	74.7	41.2	46.4
TBN	62.7	60.7	43.1	35.5	50.3	35.7	34.4	39.9	51.5	27.5	45.5	42.3	72.8	46.4	45.8	45.8
TENT (ICLR 21')	95.6	95.2	89.2	72.8	82.9	74.4	72.3	78.0	79.7	84.7	71.0	88.5	77.8	96.8	78.7	82.5
ETA (ICML 22')	42.6	40.3	34.1	30.3	<u>42.4</u>	32.0	29.4	<u>35.6</u>	<u>35.8</u>	44.1	30.2	41.8	36.9	38.9	40.9	37.0
AdaContrast (CVPR 22')	54.5	51.5	37.6	30.7	<u>45.4</u>	32.1	30.3	<u>36.9</u>	<u>36.5</u>	45.3	28.0	42.7	38.2	75.4	41.7	41.8
CoTTA (CVPR 22')	54.4	52.7	49.8	36.0	45.8	36.7	33.9	38.9	<u>35.8</u>	52.0	30.4	60.9	40.2	<u>38.0</u>	41.1	43.1
SAR (ICLR 23')	75.8	72.7	41.1	29.2	45.2	<u>31.1</u>	28.9	36.7	<u>37.7</u>	43.9	29.3	41.8	<u>37.1</u>	<u>89.2</u>	42.4	45.5
RoTTA (CVPR 23')	65.0	62.3	39.3	33.4	50.0	34.2	32.6	36.6	36.5	45.0	26.4	41.6	<u>40.6</u>	89.5	48.5	45.4
RDumb (NeurIPS 23')	<u>42.3</u>	<u>40.0</u>	34.1	30.5	<u>42.4</u>	31.9	29.5	35.7	35.9	43.6	30.4	41.9	36.9	38.1	<u>40.5</u>	<u>36.9</u>
DeYO (ICLR 24')	57.2	53.4	38.8	34.7	47.3	37.3	34.1	40.8	40.5	50.6	33.3	45.8	41.5	94.5	45.7	46.4
UnMix-TNS (ICLR 24')	65.8	64.1	46.4	37.5	51.7	36.0	36.4	38.5	39.4	51.1	29.3	42.8	43.2	67.8	49.4	46.6
FreDA (ours)	34.8	34.7	<u>36.6</u>	<u>29.4</u>	41.2	29.9	28.4	33.8	33.7	<u>41.1</u>	29.8	34.9	36.9	37.1	38.7	34.7
IN-C (ResNet-50)	97.8	97.1	98.2	81.7	89.8	85.2	77.9	83.5	77.1	75.9	<u>41.3</u>	94.5	82.5	79.3	68.6	82.0
TBN	92.8	91.1	92.5	87.8	90.2	87.2	82.2	82.2	82.0	79.8	48.0	92.5	83.5	75.6	70.4	82.5
TENT (ICLR 21')	99.2	98.7	99.0	90.5	95.1	90.5	84.6	86.6	84.0	86.5	46.7	98.1	86.1	77.7	72.9	86.4
ETA (ICML 22')	90.7	89.2	90.5	<u>77.0</u>	80.6	<u>74.0</u>	<u>68.9</u>	<u>72.4</u>	<u>70.3</u>	<u>64.6</u>	43.9	93.4	69.2	52.3	55.9	<u>72.9</u>
AdaContrast (CVPR 22')	96.2	95.5	96.2	93.2	96.4	96.3	90.5	92.7	91.9	92.4	50.8	97.0	96.6	89.7	87.1	90.8
CoTTA (CVPR 22')	89.1	<u>86.6</u>	<u>88.5</u>	80.9	87.2	81.1	75.8	73.3	75.2	70.5	41.6	<u>85.0</u>	78.1	65.6	61.6	76.0
SAR (ICLR 23')	98.4	97.3	98.0	84.0	87.3	82.6	77.2	77.5	76.1	72.5	43.1	<u>96.0</u>	78.3	61.8	60.4	79.4
RoTTA (CVPR 23')	89.4	88.6	89.3	83.4	89.1	86.2	80.0	78.9	76.9	74.2	37.4	89.6	79.5	69.0	59.6	78.1
RDumb (NeurIPS 23')	89.0	87.6	88.6	78.1	82.3	75.2	70.1	73.0	71.0	65.1	43.9	92.6	<u>70.7</u>	<u>53.7</u>	<u>56.3</u>	73.1
DeYO (ICLR 24')	99.5	99.2	99.5	89.5	95.0	83.9	78.8	75.0	87.8	79.2	47.3	99.2	92.4	59.0	60.4	83.0
UnMix-TNS (ICLR 24')	91.7	92.8	91.7	92.3	93.4	91.5	84.8	86.3	84.1	85.0	62.0	96.5	88.6	81.7	77.3	86.7
FreDA (ours)	72.4	74.0	71.4	76.5	<u>82.3</u>	72.1	64.1	64.4	64.8	59.1	43.7	79.7	71.0	54.2	58.6	67.2

Error Rate -3.73%
under Mixed
Distribution Shifts

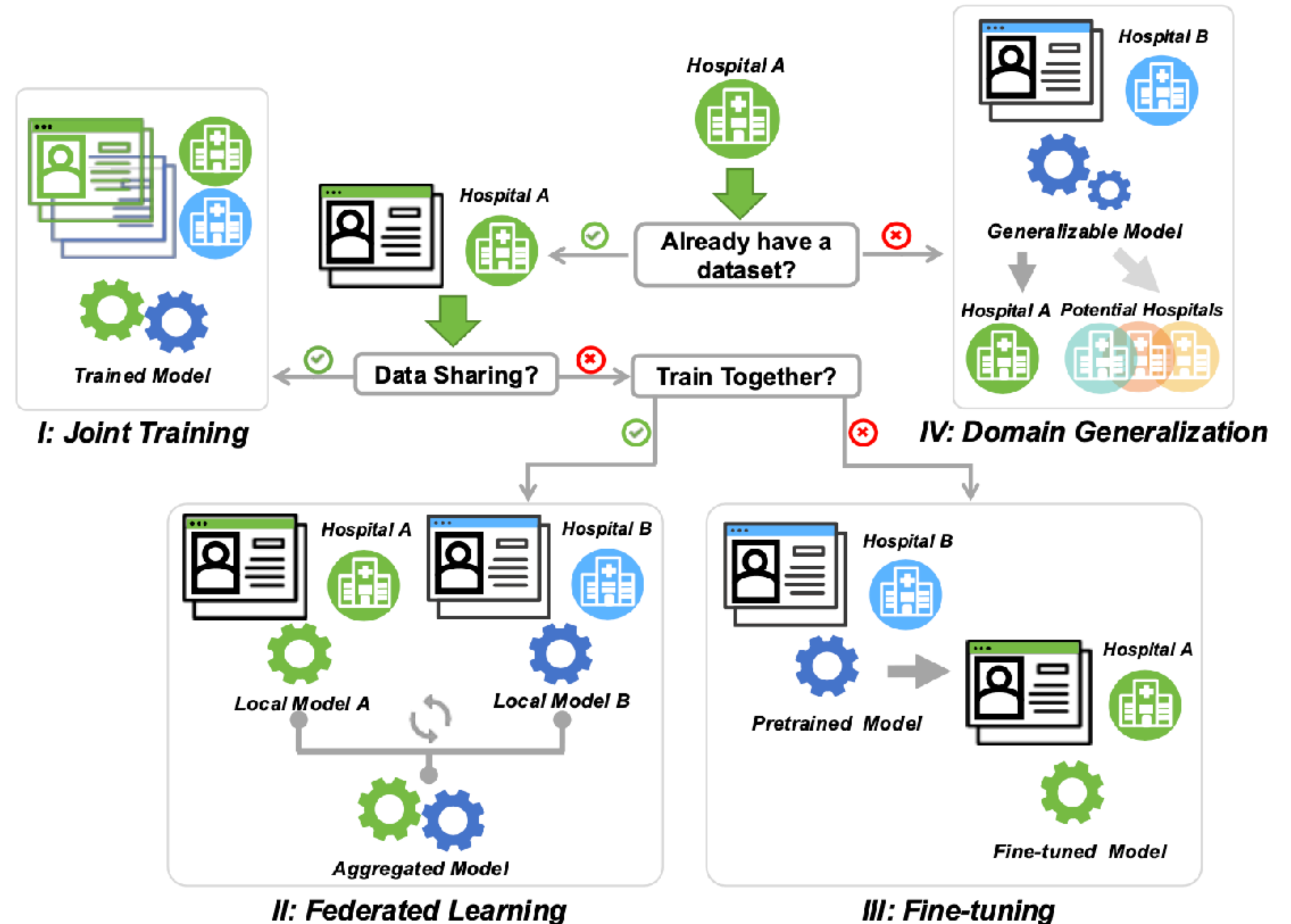
Solution #3: Navigating Distribution Shifts in Medical Image Analysis: A Survey

Proposed Solution #3: Navigating Distribution Shifts in MedIA

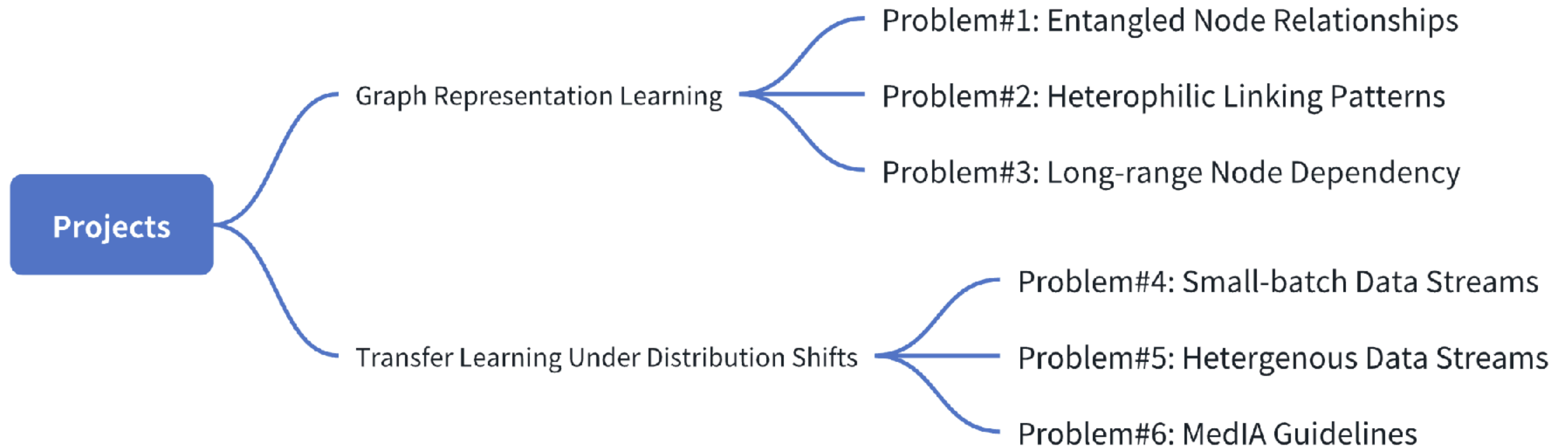


Suppose **Hospital A (target)** seeks a model tailored for its distribution, developed in collaboration with **Hospital B (source)**:

- Data Accessibility
- Privacy Concerns
- Collaborative Protocols



Towards Artificial Intelligence for Realistic World Problems



Thanks